

## **Patent Title: Phthalocyanine Photo Sensitizers for Photodynamic Therapy and Methods for Their Use**

The above patent is patented in Australia, Canada, Europe, Japan and United State.

Reference:

- i. European Patent Office Search Result
- ii. US Patent Office Search Result

### **EUROPEAN PATENT OFFICE SEARCH RESULT**

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#### **Phthalocyanine photosensitizers for photodynamic therapy and methods for their use**

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| <b>Publication number:</b> US5484778 (A)   | <b>Also published as:</b>   |
| <b>Publication date:</b> 1996-01-16  |  US5484778 (C1)  |
| <b>Inventor(s):</b> KENNEY MALCOLM E [US]; OLEINICK NANCY L [US]; RIHTER BORIS D [US]; LI YING-SYI [US]  | <b>Cited documents:</b>   |
| <b>Applicant(s):</b> UNIV CLEVELAND HOSPITALS [US]   |  US4927735 (A) |
| <b>Classification:</b>   |  US5166197 (A) |
| - international: <b>A61K31/40; A61K41/00; C07F7/08; C09B47/04; C09B47/08; C09B47/32; A61K31/40; A61K41/00; C07F7/00; C09B47/04;</b> (IPC1-7): C09B47/04; A61K31/555; A61K31/685; C09B47/08   |  US5358940 (A) |
| - European: <b>A61K31/40; A61K41/00W; C07F7/08D2; C07F7/08D4H6; C07F7/08D4H6F; C09B47/04B; C09B47/08; C09B47/32</b>  |   |
| <b>Application number:</b> US19930116259 19930902  |   |
| <b>Priority number(s):</b> US19930116259 19930902; US19920980494 19921123; US19900554290 19900717  |   |
| <b>Abstract of US 5484778 (A)</b>  |   |
| The present invention relates to a series of novel phthalocyanine compositions (or compounds) suitable for use as photosensitizers for photodynamic therapy. Specifically, the invention relates to a series of new aluminum (Al) germanium (Ge), gallium (Ga), tin (Sn) and/or silicon (Si) phthalocyanines having substituted amine or quaternary ammonium axial ligands attached to the central metal, and the use of these new phthalocyanine compositions for the treatment of cancer through photosensitization. Moreover, the present invention is directed to the methods of preparing these compositions for use in photodynamic therapy. |   |
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## Family list

12 application(s) for: US5484778 (A)

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|-----------|--|--|
| <b>1</b>  | <b>Phthalocyanine photosensitizers for photodynamic therapy and methods for synthesis and use</b><br>Inventor: KENNEY MALCOLM E ; OLEINICK NANCY L (+2)<br>EC: A61K31/40; A61K41/00W; (+3)<br>Publication info: AU694450 (B2) — 1998-07-23   | Applicant: UNIV CASE WESTERN RESERVE<br>IPC: A61K31/695; A61K31/40; A61K41/00; (+14)                       |
| <b>2</b>  | <b>Phthalocyanine photosensitizers for photodynamic therapy and methods for their synthesis and use</b><br>Inventor: KENNEY MALCOLM E ; OLEINICK NANCY L (+2)<br>EC: A61K31/40; A61K41/00W; (+3)<br>Publication info: AU7721894 (A) — 1995-03-22   | Applicant: UNIV CASE WESTERN RESERVE<br>IPC: A61K31/695; A61K31/40; A61K41/00; (+14)                       |
| <b>3</b>  | <b>PHTHALOCYANINE PHOTOSENSITIZERS FOR PHOTODYNAMIC THERAPY AND METHODS FOR THEIR SYNTHESIS AND USE</b><br>Inventor: KENNEY MALCOLM E ; OLEINICK NANCY L (+1)<br>EC: C07F7/08D2; C07F7/08D4H6; (+2)<br>Publication info: AU8320291 (A) — 1992-02-18  | Applicant: KENNEY MALCOLM E<br>IPC: C07F7/08; C09B47/04; C09B47/08; (+6)                                   |
| <b>4</b>  | <b>PHTHALOCYANINE PHOTOSENSITIZERS FOR PHOTODYNAMIC THERAPY AND METHODS FOR THEIR SYNTHESIS AND USE</b><br>Inventor: KENNEY MALCOLM E [US] ; OLEINICK NANCY L [US] (+1)<br>EC: C07F7/08D2; C07F7/08D4H6; (+2)<br>Publication info: CA2086942 (A1) — 1992-01-18                             | Applicant: UNIV CLEVELAND HOSPITALS [US]<br>IPC: C07F7/08; C09B47/04; C09B47/08; (+5)                      |
| <b>5</b>  | <b>PHTHALOCYANINE PHOTOSENSITIZERS FOR PHOTODYNAMIC THERAPY AND METHODS FOR THEIR SYNTHESIS AND USE</b><br>Inventor: KENNEY MALCOLM [US] ; OLEINICK NANCY L [US] (+2)<br>EC: A61K31/40; A61K41/00W; (+3)<br>Publication info: CA2170974 (A1) — 1995-03-09                                  | Applicant: UNIV CASE WESTERN RESERVE [US]<br>IPC: A61K31/695; A61K31/40; A61K41/00; (+16)                  |
| <b>6</b>  | <b>PHTHALOCYANINE PHOTOSENSITIZERS FOR PHOTODYNAMIC THERAPY AND METHODS FOR THEIR SYNTHESIS AND USE.</b><br>Inventor: KENNEY MALCOLM E [US] ; OLEINICK NANCY L [US] (+1)<br>EC: C07F7/08D2; C07F7/08D4H6; (+2)<br>Publication info: EP0539511 (A1) — 1993-05-05                            | Applicant: KENNEY MALCOLM E [US] ; OLEINICK NANCY L [US] (+1)<br>IPC: C07F7/08; C09B47/04; C09B47/08; (+6) |
| <b>7</b>  | <b>PHTHALOCYANINE PHOTOSENSITIZERS FOR PHOTODYNAMIC THERAPY AND METHODS FOR THEIR SYNTHESIS AND USE</b><br>Inventor: KENNEY MALCOLM E [US] ; OLEINICK NANCY L [US] (+2)<br>EC: A61K31/40; A61K41/00W; (+3)<br>Publication info: EP0720635 (A4) — 1996-05-14<br>EP0720635 (A1) — 1996-07-10 | Applicant: UNIV CASE WESTERN RESERVE [US]<br>IPC: A61K31/695; A61K31/40; A61K41/00; (+17)                  |
| <b>8</b>  | <b>PHTHALOCYANINE PHOTOSENSITIZERS FOR PHOTODYNAMIC THERAPY AND METHODS FOR THEIR SYNTHESIS AND USE</b><br>Inventor:<br>EC: A61K31/40; A61K41/00W; (+3)<br>Publication info: JP9504811 (T) — 1997-05-13  | Applicant:<br>IPC: A61K31/695; A61K31/40; A61K41/00; (+14)   |
| <b>9</b>  | <b>PHTHALOCYANINE PHOTOSENSITIZERS FOR PHOTODYNAMIC THERAPY</b><br>Inventor: KENNEY MALCOLM E [US] ; OLEINICK NANCY L [US] (+1)<br>EC: C07F7/08D2; C07F7/08D4H6; (+2)<br>Publication info: US5166197 (A) — 1992-11-24  | Applicant: KENNEY MALCOLM E [US] ; OLEINICK NANCY L [US] (+1)<br>IPC: C07F7/08; C09B47/04; C09B47/08; (+6) |
| <b>10</b> | <b>Phthalocyanine photosensitizers for photodynamic therapy and methods for their use</b><br>Inventor: KENNEY MALCOLM E [US] ; OLEINICK NANCY L [US] (+2)<br>EC: A61K31/40; A61K41/00W; (+6)<br>Publication info: US5484778 (A) — 1996-01-16<br>US5484778 (C1) — 2001-05-08                | Applicant: UNIV CLEVELAND HOSPITALS [US]<br>IPC: A61K31/40; A61K41/00; C07F7/08; (+11)                     |
| <b>11</b> | <b>PHTHALOCYANINE PHOTOSENSITIZERS FOR PHOTODYNAMIC THERAPY AND METHODS FOR THEIR SYNTHESIS AND USE</b><br>Inventor: KENNEY MALCOLM E [US] ; OLEINICK NANCY L [US] (+1)<br>EC: C07F7/08D2; C07F7/08D4H6; (+2)<br>Publication info: WO9201753 (A1) — 1992-02-06                             | Applicant: KENNEY MALCOLM E [US] ; OLEINICK NANCY L [US] (+1)<br>IPC: C07F7/08; C09B47/04; C09B47/08; (+6) |
| <b>12</b> | <b>PHTHALOCYANINE PHOTOSENSITIZERS FOR PHOTODYNAMIC THERAPY AND METHODS FOR THEIR SYNTHESIS AND USE</b>  |  |

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| <b>Inventor:</b> KENNEY MALCOLM E ; OLEINICK<br>NANCY L (+2) | <b>Applicant:</b> UNIV CASE WESTERN RESERVE<br>[US]    |
| <b>EC:</b> A61K31/40; A61K41/00W; (+3)                       | <b>IPC:</b> A61K31/695; A61K31/40; A61K41/00;<br>(+14) |
| <b>Publication info:</b> WO9506688 (A1) — 1995-03-09         |  |

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# US PATENT OFFICE SEARCH RESULT

## USPTO PATENT FULL-TEXT AND IMAGE DATABASE



( 1 of 1 )

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**United States Patent**  
**Kenney , et al.**

**5,484,778**  
**January 16, 1996**

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Phthalocyanine photosensitizers for photodynamic therapy and methods for their use

### Abstract

The present invention relates to a series of novel phthalocyanine compositions (or compounds) suitable for use as photosensitizers for photodynamic therapy. Specifically, the invention relates to a series of new aluminum (Al) germanium (Ge), gallium (Ga), tin (Sn) and/or silicon (Si) phthalocyanines having substituted amine or quaternary ammonium axial ligands attached to the central metal, and the use of these new phthalocyanine compositions for the treatment of cancer through photosensitization. Moreover, the present invention is directed to the methods of preparing these compositions for use in photodynamic therapy.

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Inventors: **Kenney; Malcolm E.** (Cleveland Heights, OH), **Oleinick; Nancy L.** (University Heights, OH), **Rihter; Boris D.** (Wauwatosa, WI), **Li; Ying-Syi** (Cleveland Heights, OH)

Assignee: **University Hospitals of Cleveland** (Cleveland, OH)

[\*] Notice: The portion of the term of this patent subsequent to November 24, 2009 has been disclaimed.

Appl. No.: **08/116,259**

Filed: **September 2, 1993**

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### Related U.S. Patent Documents

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| <u>Application Number</u> | <u>Filing Date</u> | <u>Patent Number</u> | <u>Issue Date</u> |
|---------------------------|--------------------|----------------------|-------------------|
| 980494                    | Nov., 1992         |                      |                   |
| 554290                    | Jul., 1990         | 5166197              | Nov., 1992        |

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**Current U.S. Class:**

**514/63 ; 514/185; 514/191; 514/43; 536/29.11;**

540/123; 540/125; 540/128; 540/140

**Current International Class:** C09B 47/08 (20060101); C09B 47/08 (20060101);  
C09B 47/32 (20060101); C09B 47/32 (20060101);  
C09B 47/04 (20060101); C09B 47/04 (20060101);  
A61K 41/00 (20060101); A61K 41/00 (20060101);  
A61K 31/40 (20060101); A61K 31/40 (20060101);  
C07F 7/00 (20060101); C07F 7/00 (20060101);  
C07F 7/08 (20060101); C07F 7/08 (20060101);  
C09B 047/04 (); C09B 047/08 (); A61K 031/555 ();  
A61K 031/685 ()

**Field of Search:** 540/128,140,123,125 514/63,185,191,43 536/29.11

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| <a href="#">5166197</a> | November 1992 | Kenney et al.  |
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*Primary Examiner:* Datlow; Philip I.  
*Attorney, Agent or Firm:* Calfee Halter & Griswold

## CROSS REFERENCE TO RELATED APPLICATIONS

This is a continuation-in-part of U.S. patent application Ser. No. 07/980,494, filed Nov. 23, 1992, now abandoned, which is a continuation application of U.S. patent application Ser. No. 554,290, filed Jul. 17, 1990, which issued as U.S. Pat. No. 5,166,197, Nov. 24, 1992.

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### *Claims*

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We claim:

1. A phthalocyanine compound having the following formula: ##STR3## wherein M is (G).sub.a Y [(OSi(CH.sub.3).sub.2 (CH.sub.2).sub.b N.sub.c (R').sub.d (R'').sub.e).sub.f X.sub.g ].sub.p wherein:

Y is selected from the group consisting of Si, Al, Ga, Ge, and Sn;

R' is selected from the group consisting of H, CH.sub.2, CH.sub.3, C.sub.2 H.sub.5, C.sub.4 H.sub.9, C.sub.4 H.sub.8 NH, C.sub.4 H.sub.8 NCH.sub.3, C.sub.4 H.sub.8 S, C.sub.4 H.sub.8 O, C.sub.4 H.sub.8 Se, CH.sub.2 CH.sub.3, (CH.sub.2).sub.3 (CH.sub.3), OC(O)CH.sub.3, CS, CO, CSe, OH, C.sub.4 H.sub.8 N(CH.sub.2).sub.3 CH.sub.3, (CH.sub.2).sub.3 N(CH.sub.3).sub.2, C(O)C.sub.27 H.sub.30 N.sub.2 O, (CH.sub.2).sub.n N((CH.sub.2).sub.o (CH.sub.3)).sub.2, and an alkyl group having from 1 to 12 carbon atoms;

R'' is selected from the group consisting of H, SO.sub.2 CH.sub.3, (CH.sub.2).sub.2 N(CH.sub.3).sub.2, (CH.sub.2).sub.11 CH.sub.3, C(S)NHC.sub.6 H.sub.11 O.sub.5, (CH.sub.2).sub.n N((CH.sub.2).sub.o (CH.sub.3)).sub.2, and an alkyl group having from 1 to 12 carbon atoms;

G is selected from the group consisting of OH, CH.sub.3, and (CH.sub.3).sub.3 C(CH.sub.3).sub.2 SiO;

X is selected from the group consisting of: I; F; Cl; and Br;

a=0 where Y is Al or Ga, or 1 where Y is Si, Ge, or Sn;

b=an integer from 2 to 12;

c=0 or 1;

d=0, 1, 2 or 3;

e=0, 1, or 2;

f=1 or 2;

g=0 or 1;

n=an integer from 1 to 12;

o=an integer from 1 to 11; and

p=1 or 2;

where M is not  $\text{AlOSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{N}(\text{CH}_3)_2$ ;  $\text{AlOSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{N}(\text{CH}_3)_3\text{I}^-$ ;  $\text{CH}_3\text{SiOSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{N}(\text{CH}_3)_2$ ;  $\text{HOSiOSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{N}(\text{CH}_3)_3\text{I}^-$ ;  $\text{Si}[\text{OSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{N}(\text{CH}_3)_3\text{I}^-]_2$ ; or  $\text{Si}[\text{OSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{N}(\text{CH}_3)_2]_2$ .

2. The phthalocyanine compound of claim 1, wherein M=

$\text{Si}[\text{OSi}(\text{CH}_3)_2(\text{CH}_2)_4\text{NH}]_2$ ;

$\text{Si}[\text{OSi}(\text{CH}_3)_2(\text{CH}_2)_4\text{NHSOCH}_3]_2$ ;

$\text{HOSiOSi}(\text{CH}_3)_2(\text{CH}_2)_4\text{NHSOCH}_3$ ;

$\text{HOSiOSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{N}(\text{CH}_2\text{CH}_3)(\text{CH}_2)_2\text{N}(\text{CH}_3)_2$ ;

$\text{Si}[\text{OSi}(\text{CH}_3)_2(\text{CH}_2)_4\text{NHCSNHC}_6\text{H}_{11}\text{O}]_2$ ;

$\text{HOSiOSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{OCOCH}_3$ ;

$\text{Si}[\text{OSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{N}^+(\text{CH}_3)_2(\text{CH}_2)_{11}\text{CH}_3]_2\text{I}^-$ ;

$(\text{CH}_3)_3\text{C}(\text{CH}_3)_2\text{SiOSiOSi}(\text{CH}_3)_2(\text{CH}_2)_4\text{NCOC}_6\text{H}_5\text{N}_2\text{O}$ ;

$\text{HOSiOSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{OH}$ ;

$\text{Si}[\text{OSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{N}(\text{CH}_2\text{CH}_3)(\text{CH}_2)_2\text{N}(\text{CH}_3)_2]_2$ ;

$\text{HOSiOSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{NC}_4\text{H}_8\text{O}$ ;

$\text{AlOSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{N}^+(\text{CH}_3)_2(\text{CH}_2)_{11}\text{CH}_3\text{I}^-$ ;

$\text{HOSiOSi}(\text{CH}_3)_2(\text{CH}_2)_8\text{N}(\text{CH}_3)_2$ ;

$\text{Si}[\text{OSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{NC}_4\text{H}_8\text{O}]_2$ ;

$\text{HOSiOSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{NC}_4\text{H}_8\text{S}$ ;

$\text{HOSiOSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{N}((\text{CH}_2)_3\text{CH}_3)_2$ ;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 NCS;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N[(CH.sub.2).sub.3 N(CH.sub.3).sub.2 ].sub.2 ;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 NC.sub.4 H.sub.8 NCH.sub.3 ;

Si[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 NC.sub.4 H.sub.8 NCH.sub.3 ].sub.2 ;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 NC.sub.4 H.sub.8 N(CH.sub.2).sub.3 CH.sub.3 ; or

Si[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 NC.sub.4 H.sub.8 NH].sub.2.

3. The compound of claim 2 wherein M is Si[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.4 NH.sub.2 ].sub.2.

4. The compound of claim 2 wherein M is Si[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.4 NHSO.sub.2 CH.sub.3 ].sub.2.

5. The compound of claim 2 wherein M is HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.4 NHSO.sub.2 CH.sub.3.

6. The compound of claim 2 wherein M is HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.2 CH.sub.3)(CH.sub.2).sub.2 N(CH.sub.3).sub.2.

7. The compound of claim 2 wherein M is Si[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.4 NHCSNHC.sub.6 H.sub.11 O.sub.5 ].sub.2.

8. A phthalocyanine compound having the following formula SiPc[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.3).sub.2 ].sub.2.

9. The compound of claim 2 wherein M is HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 OCOCH.sub.3.

10. The compound of claim 2 wherein M is Si[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N<sup>sup.+</sup> (CH.sub.3).sub.2 (CH.sub.2).sub.11 CH.sub.3 ].sub.2 2I<sup>sup.-</sup>.

11. The compound of claim 2 wherein M is (CH.sub.3).sub.3 C(CH.sub.3).sub.2 SiOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.4 NCOC.sub.27 H.sub.30 N.sub.2 O.

12. The compound of claim 2 wherein M is HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 OH.

13. The compound of claim 2 wherein M is Si[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(C.sub.2 H.sub.5)(CH.sub.2).sub.2 N(CH.sub.3).sub.2 ].sub.2.

14. The compound of claim 2 wherein M is HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 NC.sub.4 H.sub.8 O.

15. The compound of claim 2 wherein M AlOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N<sup>sup.+</sup> (CH.sub.3).sub.2 (CH.sub.2).sub.11 CH.sub.3 I<sup>sup.-</sup>.

16. The compound of claim 2 wherein M is  $\text{HOSiOSi}(\text{CH}_3)_2(\text{CH}_2)_8\text{N}(\text{CH}_3)_2$ .
17. The compound of claim 2 wherein M is  $\text{Si}[\text{OSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{NC}_4\text{H}_8\text{O}]_2$ .
18. The compound of claim 2 wherein M is  $\text{HOSiOSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{NC}_4\text{H}_8\text{S}$ .
19. The compound of claim 2 wherein M is  $\text{HOSiOSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{N}((\text{CH}_2)_3\text{CH}_3)_2$ .
20. The compound of claim 2 wherein M is  $\text{HOSiOSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{NCS}$ .
21. The compound of claim 2 wherein M is  $\text{HOSiOSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{N}[(\text{CH}_2)_3\text{N}(\text{CH}_3)_2]_2$ .
22. The compound of claim 2 wherein M is  $\text{HOSiOSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{NC}_4\text{H}_8\text{NCH}_3$ .
23. The compound of claim 2 wherein M is  $\text{Si}[\text{OSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{NC}_4\text{H}_8\text{NCH}_3]_2$ .
24. The compound of claim 2 wherein M is  $\text{HOSiOSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{NC}_4\text{H}_8\text{N}(\text{CH}_2)_3\text{CH}_3$ .
25. The compound of claim 2 wherein M is  $\text{Si}[\text{OSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{NC}_4\text{H}_8\text{NH}]_2$ .
26. A therapeutic composition comprising the phthalocyanine of claim 1 and a pharmaceutical carrier therefor.
27. A method for treating fibrosarcomas squamous cell carcinoma, and skin tumors comprising the steps of administering, to a patient an effective amount of the phthalocyanine of claim 1, and applying light of sufficient wave length and intensity to the fibrosarcoma, squamous cell carcinoma or skin tumor to activate said phthalocyanine, wherein said activated phthalocyanine exerts a cytotoxic effect on said fibrosarcoma, squamous cell carcinoma or skin tumor.
28. The method of claim 27, wherein said light is of the visible spectrum above about 600 nm.
29. The method of claim 27, wherein the M group of said phthalocyanine is  $\text{HOSiOSi}(\text{CH}_3)_2(\text{CH}_2)_4\text{NHSO}_3\text{CH}_3$ .
30. A method for treating fibrosarcomas, squamous cell carcinoma and skin tumors comprising the steps of administering an effective amount of a phthalocyanine wherein the phthalocyanine is  $\text{HOSiPcOSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{N}(\text{CH}_3)_2$ , and applying light of sufficient wave length and intensity to the fibrosarcoma, squamous cell carcinoma or skin tumor to activate said phthalocyanine, wherein said activated phthalocyanine exerts a cytotoxic effect on said fibrosarcoma, squamous cell carcinoma or skin tumor.

31. A method for treating fibrosarcomas, squamous cell carcinoma, and skin tumors comprising the steps of administering, to a patient, an effective amount of a phthalocyanine, and applying light of sufficient wave length and intensity to the fibrosarcoma, squamous cell carcinoma or skin tumor to activate said phthalocyanine, wherein said activated phthalocyanine exerts a cytotoxic effect on said fibrosarcoma, squamous cell carcinoma or skin tumor and

wherein said phthalocyanine is  $\text{SiPc}[\text{OSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{N}(\text{CH}_3)_2]_2$ .

32. The method of claim 27, wherein the M group of said phthalocyanine is  $\text{Si}[\text{OSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{N}(\text{C}_2\text{H}_5)(\text{CH}_2)_2\text{N}(\text{CH}_3)_2]_2$ .

33. The method of claim 27, wherein said phthalocyanine is  $\text{HOSiPcOSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{NC}_4\text{H}_8\text{NCH}_3$ .

34. A phthalocyanine compound having the following formula:  $\text{CH}_3\text{SiPcOSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{N}(\text{CH}_3)_2$ .

35. A phthalocyanine compound having the following formula:

$\text{SiPc}[\text{OSi}(\text{CH}_3)_2(\text{CH}_2)_3\text{N}(\text{CH}_3)_3^+ \text{I}^-]_2$ .

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### *Description*

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#### BACKGROUND OF THE INVENTION

The present invention is directed to a series of novel phthalocyanines suitable for use as photosensitizers for photodynamic therapy. More particularly, the present invention is directed to a series of new aluminum (Al) and silicon (Si) phthalocyanines having substituted amine or quaternary ammonium axial ligands, and the use of these new phthalocyanine compositions for the therapeutic treatment of cancer. In addition, the present invention is directed to the methods of synthesizing these new compositions.

Photodynamic therapy, hereinafter also referred to as "PDT", is a relatively new process for treating cancer wherein visible light is used to activate a substance, such as a dye or drug, which then attacks, through one or more photochemical reactions, the tumor tissue thereby producing a cell killing, or cytotoxic, effect. It has been discovered that when certain non-toxic photodynamic sensitizers, such as hematoporphyrin derivative ("HpD" or "Photofrin.RTM. I"), which is extracted from serum and/or components thereof, are applied intravenously, topically, intradermally, etc., to the human or animal body, they are selectively retained by the cancerous tissue while being eliminated by the healthy tissue. As a result, after the administration of a photodynamic substance and the waiting of a certain period of time depending upon the type of photosensitizer utilized (i.e. two to three days after HpD treatment), substantially higher levels of the photosensitizer are retained in the cancerous tissue.

The tumor or cancerous tissue containing the photosensitizer can then be exposed to therapeutic light of an appropriate wavelength and at a specific intensity for activation.

The light can be directly applied through the skin to the cancerous area from a conventional light source (e.g. laser, sun lamp, white light sources with appropriate filters, etc.), or in cases where the cancerous tissue is located deeper within the body, through surgical or non-surgical entry such as by the use of fiber optic illumination systems, including flexible fiber optic catheters, endoscopic devices, etc. The light energy and the photosensitizer cause a photochemical reaction which kills the cell in which the photosensitizer resides.

As a result, by applying a photosensitizer to the animal or human body, waiting for a sufficient period of time for the photosensitizer to permeate throughout the body while dissipating from normal tissue more rapidly than from cancer tissue, and exposing the cancerous region during the sensitive period to suitable light of sufficient intensity, the preferential destruction of the cancerous tissue will occur.

The mechanisms by which the photosensitizers produce their killing effect on the host cells upon illumination by an appropriate light source are not precisely defined and are the subject of continuing research. However, it is thought that there are at least two general mechanisms by which the photosensitizers are chemically altered upon illumination. The first general reaction mechanism involves energy transfer from the excited photosensitizer to oxygen present in the cancerous tissue. The excited photosensitizer transfers its additional energy to the oxygen, producing singlet molecular oxygen (SMO or  $^1O_2$ ) which consequentially alters essential cell components.

More particularly, in the first general reaction mechanism, it is thought that the light energy causes the photosensitizer to become excited from the ground state,  $S_{0.0}$ , to the first excited singlet state,  $S_{1.1}$ . The photosensitizer's excited singlet state,  $S_{1.1}$ , is then transformed by intramolecular coupling to the lowest lying triplet state  $T_{1.1}$ . Through a direct intermolecular process discussed more particularly by John G. Parker of The John Hopkins University, Baltimore, Md., in U.S. Pat. Nos. 4,576,173; 4,592,361; and 4,827,938, the photosensitizer transfers this energy to oxygen molecules present in the tissue and raises them from the ground triplet to the first excited electronic singlet state  $^1O_2$ . The singlet molecular oxygen,  $^1O_2$ , destroys or alters vital cellular components such as the cell membrane, etc., ultimately inducing necrosis and destroying the cancerous tissue.

The process by which biological damage occurs as a result of the optical excitation of a photosensitizer in the presence of oxygen is generally referred to as "photodynamic action". A more detailed discussion concerning the use of photodynamic action in the treatment of cancer is discussed by Thomas J. Dougherty, William R. Potter, and Kenneth R. Weishaupt of Health Research, Inc., Buffalo, N.Y., in a series of patents, i.e. U.S. Pat. Nos. 4,649,151; 4,866,168; 4,889,129; and 4,932,934, concerning improved hematoporphyrin and porphyrin derivatives including dihematoporphyrin ether (DHE), the purified form of HpD, and methods utilizing same, for photodynamic therapy.

The second general mechanism thought to be involved in the killing effect produced by certain photosensitizers involves the production of free radicals. Subsequent reactions of the radicals with organic molecules and/or with oxygen results in the biochemical destruction of the diseased tissue.

Although the exact effective mechanisms of the photochemical reactions which produce death of the cancer cells is not clearly understood and varies depending upon the type of photosensitizer utilized, what is clear is that photodynamic therapy is effective for the preferential destruction of cancerous tissue. Furthermore, photodynamic therapy has

several attractive features over conventional methods for treating cancer such as chemotherapy, radiation, surgical procedures, etc., in that the photosensitizers utilized are generally non-toxic, concentrate or remain preferentially in cancer cells, can be utilized with other modes of treatment since PDT does not interfere with other chemical or processes, etc.

As a result, photodynamic therapy is now used experimentally for the treatment of malignant diseases in humans and animals. For example, photodynamic therapy has been used successfully for the treatment of a broad range of cancers including metastatic breast tumors, endometrial carcinomas, bladder tumors, malignant melanoma, Kaposi's sarcoma, basal cell carcinoma, chondrosarcoma, squamous cell carcinoma, prostate carcinoma, laryngeal papillomas, mycosis fungoides, superficial cancer of the tracheobronchial tree, cutaneous/mucosal papilloma, gastric cancer, enteric cancer, etc.

The drug in current clinical use is "Photofrin.RTM. II" a purified version of hematoporphyrin derivative (HpD, or "Photofrin.RTM. I"). HpD and Photofrin.RTM. II are complex mixtures of substances and have been the subject of numerous investigations to identify their active compounds. In addition, other porphyrins and porphyrin-like compounds such as chlorins (see U.S. Pat. Nos. 4,656,186; 4,693,885; and 4,861,876) and enlarged porphyrins, naphthalocyanines, phthalocyanines, platyrins, porphycenes (see U.S. Pat. Nos. 4,649,151 and 4,913,907), purpurins, texaphyrins, and verdins have been investigated as photosensitizers. Numerous other substances, such as "merocyanine 540", xanthenes (Rhodamine 123 6 G&B) cationic cyanic dyes, chalcogenapyryllium dyes, phenothiazinium derivatives, tetracycline, berberine sulphate, acridine orange, and fluorescein have also been used as photosensitizers, however, the porphyrin derivatives are generally preferred because they absorb in the long wave length region (red region) of the visible spectrum.

The specific reactions used by many of the above substances to produce the killing effect in cancer cells on exposure to excitatory light are in most instances not known or well understood. As mentioned above, research continues in this area in order to more fully understand the cytotoxic effects produced by the various photosensitizers.

Notwithstanding the above, although many of the above identified substances have demonstrated enhanced effects in photodynamic therapy, these substances also produce various side effects which limit their use for photodynamic therapy. The most predominant side effect exhibited by many of the currently utilized substances is the development of uncontrolled photosensitivity reactions in patients after the systemic administration of the photosensitizer and the exposure of the patient to normal sunlight. In this regard, on exposure to the sun, the photodynamic therapy patients can develop generalized skin photosensitization. As a result, the patient after receiving systemic injections of a photosensitizing substance is required to avoid bright light, especially sunlight for periods of about four to eight weeks.

Furthermore, since many of the above photosensitizers bind to other non-cancerous cells, some healthy cell destruction can also occur. Similarly, although many of the photosensitizers are soluble in water, large dosages are required for cellular uptake and/or treatment. Thus, use of many of the above indicated photosensitizers is normally limited to patients with severe cancerous tumors and continuing research is being conducted in order to produce photosensitizing substances, and/or methods of administering such substances, that avoid these side reactions as well as produce enhanced photosensitizing effects.

Considerable attention has recently been directed to a group of compounds having the phthalocyanine ring system. These compounds, called phthalocyanines, hereinafter also abbreviated as "Pc", are a group of photoactive dyes that are somewhat structurally similar (i.e. have nitrogen containing ring structure) to the porphyrin family.

Phthalocyanines are azaporphyrins consisting of four benzoindole nuclei connected by nitrogen bridges in a 16-membered ring of alternating carbon and nitrogen atoms around a central metal atom (i.e. C.sub.32 H.sub.16 N.sub.8 M) which form stable chelates with metal cations. In these compounds, the ring center is occupied by a metal ion (such as a diamagnetic or a paramagnetic ion) that may, depending on the ion, carry one or two simple ligands. In addition, the ring periphery may be either unsubstituted or substituted.

Since E. Ben-Hur and I. Rosenthal disclosed the potential use of phthalocyanines as photosensitizers in 1985 (E. Ben-Hur and I. Rosenthal, *The phthalocyanines: A new class of mammalian cell photosensitizers with a potential for cancer phototherapy*, *Int. J. Radiat. Biol.* 47, 145-147, 1985), a great deal of research has followed producing a number of phthalocyanines for photodynamic therapy. Although prior studies with phthalocyanines have been generally disappointing, primarily because of the poor solubility characteristics of the basic ring, some of these compounds have attractive characteristics.

For example, unlike some of the porphyrin compounds, phthalocyanines strongly absorb clinically useful red light with absorption peaks falling between about 600 and 810 nm (Abernathy, Chad D., Anderson, Robert E., Kooistra, Kimberly L., and Laws, Edward R., *Activity of Phthalocyanine Photosensitizers against Human Glioblastoma in Vitro*, *Neurosurgery*, Vol. 21, No. 4, pp. 468-473, 1987). Although porphyrins absorb light poorly in this wavelength region, as a result of the increased transparency of biological tissues at longer wavelengths, red light is normally used for photodynamic therapy. Thus, the greater absorption of red light by the phthalocyanines over porphyrins indicates deeper potential penetration with the phthalocyanines in photodynamic treatment processes.

Furthermore, it has been found that the addition of certain metal cations (i.e. diamagnetic metal cations such as aluminum) to the phthalocyanine ring will, in some instances, create a fairly stable chelate with enhanced photosensitizing tumoricidal activity. While the mechanisms for producing the photoreactions are not clear (i.e. it is not known whether singlet oxygen or hydroxyl radicals, etc. are produced), the choice of the metal cation is apparently critical in that certain metals (i.e., paramagnetic metals) may actually inhibit the phototoxic properties of the resulting compound. Abernathy, et al., pp. 470-471.

In addition, the phthalocyanines offer many benefits over the porphyrin components as photosensitizers in that the phthalocyanines are relatively easy to synthesize, purify, and characterize in contrast to the porphyrins, which are often difficult to prepare. Similarly, the metal phthalocyanines are exceptionally stable compounds in comparison to the porphyrin or porphyrin-like compounds. As a result, certain metallic phthalocyanines, such as aluminum phthalocyanine tetrasulfonate (AlPcS) and chloroaluminum phthalocyanine (AlPcCl), offer a number of advantages over porphyrins as therapeutic agents for photodynamic therapy.

However, notwithstanding some of the benefits indicated above, only a few of the many possible types of ring-substituted phthalocyanines belonging to this group have been examined. By far the most attention has been given to sulfonated phthalocyanines and to phthalocyanines with peripheral substituents carrying hydroxy, alkoxy, and amino

substituents. Very little attention has been given to phthalocyanines with complex metal ligands.

The limited variety of phthalocyanines which have been tested vary greatly in their photosensitizing activity. Metal-free phthalocyanines show poor photodynamic activity (Abernathy, C. D., R. E. Anderson, K. L. Kooistra, & E. R. Laws, Jr., "Activity of Phthalocyanine Photosensitizers Against Human Glioblastoma *in vitro*", *Neurosurgery* 21, pp 468-473, 1987; Chan, W. S., J. F. Marshall, G. Y. F. Lam, & I. R. Hart, "Tissue Uptake, Distribution, and Potency of the Photoactivatable Dye Chloroaluminum Sulfonated Phthalocyanine in Mice Bearing Transplantable Tumors", *Cancer Res.* 48, pp 3040-3044, 1988, Sonoda, M., C. M. Krishna, & P. Riesz, "The Role of Singlet Oxygen in the Photohemolysis of Red Blood Cells Sensitized by Phthalocyanine Sulfonates", *Photochem Photobiol.* 46, pp. 625-632, 1987) as do phthalocyanines containing paramagnetic metals. In contrast, those containing diamagnetic metals, such as Al, Sn, and Zn, are active as a result of the long half-life of the triplet state (Chan, W. S., J. F. Marshall, G. Y. F. Lam, & I. R. Hart, "Tissue Uptake, Distribution, and Potency of the Photoactivatable Dye Chloroaluminum Sulfonated Phthalocyanine in Mice Bearing Transplantable Tumors", *Cancer Res.* 48, pp. 3040-3044, 1988; Sonoda, M., C. M. Krishna, & P. Riesz, "The Role of Singlet Oxygen in the Photohemolysis of Red Blood Cells Sensitized by Phthalocyanine Sulfonates", *Photochem. Photobiol.* 46, pp. 625-632, 1987). While in general there appears to be an increase in photosensitizing ability with lipophilicity (Berg, K., J. C. Bommer, & J. Moan, "Evaluation of Sulfonated Aluminum Phthalocyanines for use in Photochemotherapy. Cellular Uptake Studies", *Cancer Letters* 44 pp. 7-15, 1989) some highly lipophilic derivatives, such as a tetraeneopentoxy derivative, are poor photosensitizers (Rosenthal, I., E. Ben-Hur, S. Greenberg, A. Concepcion-Lam, D. M. Drew, & C. C. Leznoff, "The Effect of Substituents on Phthalocyanine Phototoxicity", *Photochem. Photobiol.* 46, pp. 959-963, 1987).

Recently, Leznoff, et al. (Leznoff, C. C., Vigh, S., Svirskaya, P. I., Greenberg, S., Drew, D. M., Ben-Hur, E. & Rosenthal, I., "Synthesis and Photocytotoxicity of Some New Substituted Phthalocyanines", *Photochem. Photobiol.* 49, pp. 279-284, 1989) synthesized a series of ring-substituted phthalocyanines. The substituents were hydroxy or alkoxy groups, as well as substituted amines. Of this series, a Zn phthalocyanine with four diethylaminopropyl groups was reported to have some photosensitizing activity against Chinese hamster fibroblast V79 cells in culture. However, it is critical to note that although amine groups were present in the Zn phthalocyanine compound containing the four diethylaminopropyl groups, the amine groups were ring substituents and no simple axial ligands were specified. For some time the applicants have been searching for phthalocyanines having superior photosensitizing ability. In this search, the applicants have emphasized compounds with complex metal ligands. Initially, applicants examined the photocytotoxicity of twenty-one phthalocyanines taken from a collection in the applicants' laboratories to Chinese hamster fibroblasts, i.e. V79 cells. One of these phthalocyanines was  $\text{HOSiPcOSi}(\text{CH}_2)_3(\text{CH}_2)_2(\text{CH}_2)_3\text{OCH}_2\text{--CHOHCH}_2\text{N}(\text{C}_2\text{H}_5)_2$ , a phthalocyanine composition carrying a hydroxyl amine functional group. This was found to be taken up efficiently by the Chinese hamster fibroblast V79 cells and to have excellent photocytotoxicity. However, solutions of this composition in dimethylformamide were found to decompose relatively rapidly. Further, it appeared that the composition might have dark toxicity (i.e. be toxic to tissues in the absence of light) *in vivo* because of its  $\text{--OCHOHCH}_2\text{NR}_2$  functional group.

With the results of this preliminary work in mind, the applicants then prepared and studied a series of new aluminum and silicon phthalocyanines having relatively simple

ligands carrying NR.sub.2 or NR.sub.3 + functions. The present invention is the result of applicants' studies of these compounds, and the use of the same for photodynamic therapy.

## SUMMARY OF THE INVENTION

In one aspect, the present invention is directed to a series of phthalocyanine compounds, (or compositions) with modifying moieties linked to the central metal, which is either aluminum (Al) germanium (Ge), gallium (Ga), tin (Sn), or silicon (Si). Specifically, the present invention relates to a series of aluminum, germanium, gallium, tin or silicon phthalocyanines having an axial group, or groups, carrying, or terminating in, an amine or quaternary ammonium function. The specific embodiments of the invention can be generally characterized by the following Formula I: ##STR1## wherein M is (G).sub.a Y[(OSi(CH.sub.3).sub.2 (CH.sub.2).sub.b N.sub.c (R').sub.d (R'').sub.e).sub.f X.sub.g ].sub.p

wherein:

Y is selected from the group of Si, Al, Ga, Ge, or Sn;

R' is selected from the group of H, C, CH.sub.2, CH.sub.3, C.sub.2 H.sub.5, C.sub.4 H.sub.9, C.sub.4 H.sub.8 NH, C.sub.4 H.sub.8 N, C.sub.4 H.sub.8 NCH.sub.3, C.sub.4 H.sub.8 S, C.sub.4 H.sub.8 O, C.sub.4 H.sub.8 SE, CH.sub.2 CH.sub.3, (CH.sub.2).sub.3 (CH.sub.3).sub.2, OC(O)CH.sub.3, OC(O), (CH.sub.3).sub.2 (CH.sub.2).sub.11, CS, CO, CSE, OH, C.sub.4 H.sub.8 N(CH.sub.2).sub.3 CH.sub.3, (CH.sub.2).sub.2 N(CH.sub.3).sub.2, C(O)C.sub.27 H.sub.30 N.sub.2 O, (CH.sub.2).sub.n N((CH).sub.o (CH.sub.3)).sub.2, an alkyl group having from 1 to 12 carbon atoms;

R'' is selected from the group of H, SO.sub.2 CH.sub.3, (CH.sub.2).sub.2 N(CH.sub.3).sub.2, (CH.sub.2).sub.11 CH.sub.3, C(S)NHC.sub.6 H.sub.11 O.sub.5, (CH.sub.2).sub.n N((CH).sub.o (CH.sub.3)).sub.2, and an alkyl group having from 1 to 12 carbon atoms;

G is selected from the group of OH, CH.sub.3, and (CH.sub.3).sub.3 C(CH.sub.3).sub.2 ;

X is selected from the group of: I; F; Cl; or Br;

a=0 where Y is Al, or 1 where Y is Si;

b=an integer from 2 to 12;

c=0, 1;

d=0, 1, 2, or 3;

e=0, 1, or 2;

f=1 or 2;

g=0, 1;

n=an integer from 1 to 12;

o=an integer from 1 to 11;

p=1 or 2;

or preferably, M=

AlOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.3).sub.2 ;

AlOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.3).sub.3.sup.+ I.sup.- ;

CH.sub.3 SiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.3).sub.2 ;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.3).sub.2 ;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.3).sub.3.sup.+ I.sup.- ;

Si[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.3).sub.3.sup.+ I.sup.- ].sub.2 ;

Si[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.4 NH.sub.2 ].sub.2 ;

Si[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.4 NHSO.sub.2 CH.sub.3 ].sub.2 ;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.4 NHSO.sub.2 CH.sub.3 ;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.2 CH.sub.3)(CH.sub.2).sub.2  
N(CH.sub.3).sub.2 ;

Si[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.4 NHCSNHC.sub.6 H.sub.11 O.sub.5 ].sub.2 ;

Si[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.3).sub.2 ].sub.2 ;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 OCOCH.sub.3 ;

Si[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N.sup.+ (CH.sub.3).sub.2 (CH.sub.2).sub.11  
CH.sub.3 ].sub.2 I.sup.- ;

CH.sub.3).sub.3 C(CH.sub.3).sub.2 SiOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.4  
NCOC.sub.27 H.sub.30 N.sub.2 O;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 OH;

Si[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.2 CH.sub.3)(CH.sub.2).sub.2  
N(CH.sub.3).sub.2 ].sub.2 ;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 NC.sub.4 H.sub.8 O;

AlOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N.sup.+ (CH.sub.3).sub.2 (CH.sub.2).sub.11  
CH.sub.3 I.sup.- ;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.8 N(CH.sub.3).sub.2 ;

Si[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 NC.sub.4 H.sub.8 O].sub.2 ;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 NC.sub.4 H.sub.8 S;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.2).sub.3 (CH.sub.3).sub.2 ;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 NCS;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N[(CH.sub.2).sub.3 N(CH.sub.3).sub.2 ].sub.2 ;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 NC.sub.4 H.sub.8 NCH.sub.3 ;

Si[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 NC.sub.4 H.sub.8 NCH.sub.3 ].sub.2 ;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 NC.sub.4 H.sub.8 N(CH.sub.2).sub.3 CH.sub.3 ; or

Si[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 NC.sub.4 H.sub.8 NH].sub.2 ;

In an additional aspect, the present invention relates to the various methods of synthesizing the novel phthalocyanine compositions. The novel phthalocyanines produced by the invention exhibit enhanced characteristics which make them well suited for photodynamic therapy when utilized alone or in combination with a pharmaceutical carrier. The phthalocyanines of the present invention are also useful as immunosuppressant and to purge blood of viral components.

In a further aspect, the present invention is directed to various methods for destroying cancer tissue comprising the steps of administering to the cancer tissue an effective amount of a phthalocyanine composition having an axial group, or groups, carrying, or terminating in an amine or quaternary ammonium function, and applying light of sufficient wavelength and intensity to activate the composition thereby exerting a cell killing, or cytotoxic, effect on the cancer tissue.

#### BRIEF DESCRIPTION OF THE DRAWINGS

The following is a brief description of the drawings which are presented for the purpose of illustrating the invention and not for the purpose of limiting same.

FIG. 1 is a graph illustrating the photodynamic efficacy of the various compositions of the present invention in comparison to ALPcCl. The phthalocyanine composition compounds of the present invention were tested for their photodynamic efficiency against Chinese hamster fibroblast V79 cells by colony formation. Monolayer cultures were treated with the indicated phthalocyanine composition for 18 hours, irradiated with various fluences of red light, and immediately trypsinized and replated at appropriate aliquots in triplicate. Colonies of at least 50 cells were counted after 7-10 days. The plating efficiency of the untreated cells was approximately 90%.

FIG. 2 is a graph demonstrating the percent survival of the compositions of the present invention in comparison to ALPcCl in relation to intracellular phthalocyanine (nmole/10<sup>7</sup> cells) and light fluence (kJ/m<sup>2</sup>). In this regard, in FIG. 2 the data of FIG. 1 were replotted as a function of the product of the amount of cell-associated phthalocyanine and the light fluence.

FIG. 3 is a graph which compares the percent survival of L5178Y strain R cells receiving

photodynamic therapy and treated with: PcIV, represented by the open circles; PcXII, represented by the solid circles; PcX, represented by the open squares; and PcXVIII, represented by the solid squares, at varying doses of light.

FIG. 4 shows the tumor volume response of chemically-induced benign skin papillomas in SENCAR mice, to photodynamic therapy with PcIV.

#### DETAILED DESCRIPTION OF THE INVENTION

The present invention relates to a series of novel phthalocyanine compositions (or compounds) suitable for use as photosensitizers for photodynamic therapy. Specifically, the invention relates to a series of new aluminum (Al) or Ga and/or silicon (Si) Ge, or Sn phthalocyanines having substituted amine or quaternary ammonium axial ligands attached to the central metal, and the use of these new phthalocyanine compositions for the treatment of cancer through photosensitization. Moreover, the present invention is directed to the methods of preparing these compositions for use in photodynamic therapy.

Although research has recently been directed to the use of various phthalocyanines for photodynamic therapy, this activity has been principally directed to phthalocyanines with peripheral substituents, and little, if any, attention has been given to phthalocyanines with complex metal ligands. Along this line, in the phthalocyanine compositions described in the prior art, only simple ligands, such as Cl or OH ligands, are attached to the central metal. However, in the new compositions of the present invention, axial ligands carrying or, terminating in an amine function or a quaternary ammonium function are attached to the central metal. As a result, it is believed by the applicants that these more complex axial ligands give the new phthalocyanine compositions the potential to bind to the various species that assist in transporting the composition to and from their targets, as well as enhance the potential for the phthalocyanines to bind to their specific target cells.

This is demonstrated in that some of the novel phthalocyanines of the present invention having substituted amine or quaternary ammonium axial ligands attached to either aluminum or silicon as the central metal, are much more effective in producing photodynamic activity when compared with chloroaluminum phthalocyanine (AlPcCl). The enhanced cytotoxic effects produced are due to the increased cellular uptake of the compositions and/or the increased loss of clonogenicity as a function both of the concentration of the phthalocyanine and the red light fluence.

More particularly, in applicants' investigation for phthalocyanines exhibiting enhanced photosensitizing ability through the synthesis and evaluation of a number of phthalocyanine compositions having complex metal ligands, the applicants have produced a series of new aluminum and silicon phthalocyanines having substituted amine or quaternary ammonium axial ligands. In this regard, two silicon phthalocyanines and one aluminum phthalocyanine with axial groups terminating in an amine function were prepared:

SiPc(CH<sub>3</sub>)(OSi(CH<sub>3</sub>)<sub>2</sub> (CH<sub>2</sub>)<sub>3</sub> N(CH<sub>3</sub>)<sub>2</sub>),

SiPc(OH)(OSi(CH<sub>3</sub>)<sub>2</sub> (CH<sub>2</sub>)<sub>3</sub> N(CH<sub>3</sub>)<sub>2</sub>), and

AlPcOSi(CH<sub>3</sub>)<sub>2</sub> (CH<sub>2</sub>)<sub>3</sub> N(CH<sub>3</sub>)<sub>2</sub>.

In addition, two silicon phthalocyanines and one aluminum phthalocyanine with axial groups terminating in a quaternary ammonium function were prepared:

SiPc(OH)(OSi(CH<sub>3</sub>)<sub>2</sub> (CH<sub>2</sub>)<sub>3</sub> N(CH<sub>3</sub>)<sub>2</sub>)<sup>+</sup> I<sup>-</sup>,

SiPc(OSi(CH<sub>3</sub>)<sub>2</sub> (CH<sub>2</sub>)<sub>3</sub> N(CH<sub>3</sub>)<sub>3</sub>)<sup>+</sup> I<sup>-</sup>)<sub>2</sub>, and

AlPcOSi(CH<sub>3</sub>)<sub>2</sub> (CH<sub>2</sub>)<sub>3</sub> N(CH<sub>3</sub>)<sub>3</sub>)<sup>+</sup> I<sup>-</sup>.

The new phthalocyanine compositions can be generally characterized by the following formula: ##STR2## wherein M is (G)<sub>a</sub> Y[(OSi(CH<sub>3</sub>)<sub>2</sub> (CH<sub>2</sub>)<sub>b</sub> N<sub>c</sub> (R')<sub>d</sub> (R'')<sub>e</sub>)<sub>f</sub> X<sub>g</sub>]<sub>p</sub>

wherein:

Y is selected from the group of Si, Al, Ga, Ge, or Sn;

R' is selected from the group of H, C, CH<sub>2</sub>, CH<sub>3</sub>, C<sub>2</sub>H<sub>5</sub>, C<sub>4</sub>H<sub>9</sub>, C<sub>4</sub>H<sub>8</sub>NH, C<sub>4</sub>H<sub>8</sub>N, C<sub>4</sub>H<sub>8</sub>NCH<sub>3</sub>, C<sub>4</sub>H<sub>8</sub>S, C<sub>4</sub>H<sub>8</sub>O, C<sub>4</sub>H<sub>8</sub>Se, CH<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>3</sub>(CH<sub>3</sub>)<sub>2</sub>, OC(O)CH<sub>3</sub>, OC(O), (CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>11</sub>, CS, CO, CSe, OH, C<sub>4</sub>H<sub>8</sub>N(CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>3</sub>N(CH<sub>3</sub>)<sub>2</sub>, C(O)C<sub>27</sub>H<sub>30</sub>N<sub>2</sub>O, (CH<sub>2</sub>)<sub>n</sub>N((CH)<sub>o</sub>(CH<sub>3</sub>))<sub>2</sub>, an alkyl group having from 1 to 12 carbon atoms;

R'' is selected from the group of H, SO<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>2</sub>N(CH<sub>3</sub>)<sub>2</sub>, (CH<sub>2</sub>)<sub>11</sub>CH<sub>3</sub>, C(S)NHC<sub>6</sub>H<sub>11</sub>O<sub>5</sub>, (CH<sub>2</sub>)<sub>n</sub>N((CH)<sub>o</sub>(CH<sub>3</sub>))<sub>2</sub>, and an alkyl group having from 1 to 12 carbon atoms;

G is selected from the group of OH, CH<sub>3</sub>, and (CH<sub>3</sub>)<sub>3</sub>C(CH<sub>3</sub>)<sub>2</sub>;

X is selected from the group of: I; F; Cl; or Br;

a=0 where Y is Al, or 1 where Y is Si;

b=an integer from 2 to 12;

c=0, 1;

d=0, 1, 2, or 3;

e=0, 1, or 2;

f=1 or 2;

g=0, 1;

n=an integer from 1 to 12;

o=an integer from 1 to 11;

p=1 or 2;

or preferably, M=

AlOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.3).sub.2 ;

AlOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.3).sub.3.sup.+ I.sup.- ;

CH.sub.3 SiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.3).sub.2 ;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.3).sub.2 ;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.3).sub.3.sup.+ I.sup.- ;

Si[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.3).sub.3.sup.+ I.sup.- ].sub.2 ;

Si[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.4 NH.sub.2 ].sub.2 ;

Si[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.4 NHSO.sub.2 CH.sub.3 ].sub.2 ;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.4 NHSO.sub.2 CH.sub.3 ;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.2 CH.sub.3)(CH.sub.2).sub.2  
N(CH.sub.3).sub.2 ;

Si[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.4 NHCSNHC.sub.6 H.sub.11 O.sub.5 ].sub.2 ;

Si[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.3).sub.2 ].sub.2 ;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 OCOCH.sub.3 ;

Si[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N.sup.+ (CH.sub.3).sub.2 (CH.sub.2).sub.11  
CH.sub.3 ].sub.2 2I.sup.- ;

(CH.sub.3).sub.3 C(CH.sub.3).sub.2 SiOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.4  
NCOC.sub.27 H.sub.30 N.sub.2 O;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 OH;

Si[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.2 CH.sub.3)(CH.sub.2).sub.2  
N(CH.sub.3).sub.2 ].sub.2 ;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 NC.sub.4 H.sub.8 O;

AlOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N.sup.+ (CH.sub.3).sub.2 (CH.sub.2).sub.11  
CH.sub.3 I.sup.- ;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.8 N(CH.sub.3).sub.2 ;

Si[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 NC.sub.4 H.sub.8 O].sub.2 ;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 NC.sub.4 H.sub.8 S;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.2).sub.3 (CH.sub.3).sub.2 ;

HOSiOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 NCS;

HOSiOSi(CH<sub>3</sub>)<sub>2</sub> (CH<sub>2</sub>)<sub>3</sub> N[(CH<sub>2</sub>)<sub>3</sub> N(CH<sub>3</sub>)<sub>2</sub>]<sub>2</sub> ;

HOSiOSi(CH<sub>3</sub>)<sub>2</sub> (CH<sub>2</sub>)<sub>3</sub> NC<sub>4</sub>H<sub>8</sub> NCH<sub>3</sub> ;

Si[OSi(CH<sub>3</sub>)<sub>2</sub> (CH<sub>2</sub>)<sub>3</sub> NC<sub>4</sub>H<sub>8</sub> NCH<sub>3</sub> ]<sub>2</sub> ;

HOSiOSi(CH<sub>3</sub>)<sub>2</sub> (CH<sub>2</sub>)<sub>3</sub> NC<sub>4</sub>H<sub>8</sub> N(CH<sub>2</sub>)<sub>3</sub> CH<sub>3</sub> ; or

Si[OSi(CH<sub>3</sub>)<sub>2</sub> (CH<sub>2</sub>)<sub>3</sub> NC<sub>4</sub>H<sub>8</sub> NH]<sub>2</sub>.

The new phthalocyanine compositions bearing the substituted amine or quaternary ammonium axial ligands have been evaluated for their photodynamic efficiency against Chinese hamster fibroblast V79 cells in vitro. Chloroaluminum phthalocyanine (AlPcCl) was used as a reference compound. Along this line, the compounds, SiPc(CH<sub>3</sub>)(OSi(CH<sub>3</sub>)<sub>2</sub> (CH<sub>2</sub>)<sub>3</sub> N(CH<sub>3</sub>)<sub>2</sub>) and SiPc((OSi(CH<sub>3</sub>)<sub>2</sub> (CH<sub>2</sub>)<sub>3</sub> N(CH<sub>3</sub>)<sub>3</sub><sup>+</sup> I<sup>sup.-</sup>)<sub>2</sub>, displayed less effective cellular uptake, and are less preferred. The most efficient photosensitizer, as judged by uptake, growth delay, and photocytotoxicity, was SiPc(OH)(OSi(CH<sub>3</sub>)<sub>2</sub> (CH<sub>2</sub>)<sub>3</sub> N(CH<sub>3</sub>)<sub>2</sub>). The related quaternary ammonium compound, SiPc(OH)OSi(CH<sub>3</sub>)<sub>2</sub> (CH<sub>2</sub>)<sub>3</sub> N(CH<sub>3</sub>)<sub>3</sub><sup>+</sup> I<sup>sup.-</sup>), displayed poorer uptake but induced marked photocytotoxicity. When expressed as a function of the

product of intracellular phthalocyanine and the fluence reducing cell survival to 10%, this quaternary ammonium compound was the most efficient photosensitizer.

The specific process utilized to synthesize the aluminum and silicon phthalocyanine compounds of the present invention, and the enhanced results produced through the use of these new compounds for photodynamic therapy, are more particularly described below in the following examples.

## EXAMPLES

### Synthesis of Phthalocyanines

CH<sub>3</sub> OSi(CH<sub>3</sub>)<sub>2</sub> (CH<sub>2</sub>)<sub>3</sub> N(CH<sub>3</sub>)<sub>2</sub> --Under argon gas a solution of CH<sub>3</sub> MgCl in tetrahydrofuran (3.0M, 45 mL) was added dropwise to a cool (ice bath) solution of (CH<sub>3</sub> O)<sub>3</sub> Si(CH<sub>2</sub>)<sub>3</sub> N(CH<sub>3</sub>)<sub>2</sub> (11 mL) in tetrahydrofuran (100 mL), and the resulting suspension was stirred for 2 hours while being kept cool at about 5.degree. C.). Methanol (20 mL) then was added to the suspension and the mixture formed was filtered. The solid was washed with ether (50 mL) and the washings and filtrate were combined and concentrated with a rotary evaporator (45.degree. C.). The concentrate was fractionally distilled under vacuum (45 torr) and a selected fraction (86.degree.-88.degree. C., 5.0 g.) was retained (55%): NMR (CDCl<sub>3</sub>) .delta.3.42 (s, CH<sub>3</sub> O), 2.24 (m, .gamma.-CH<sub>2</sub>), 2.20 (s, NCH<sub>3</sub>), 1.49 (m, .beta.-CH<sub>2</sub>), 0.57 (m, .alpha.-CH<sub>2</sub>), 0.10 (s, CH<sub>3</sub> Si). The compound is a colorless liquid.

AlPcOSi(CH<sub>3</sub>)<sub>2</sub> (CH<sub>2</sub>)<sub>3</sub> N(CH<sub>3</sub>)<sub>2</sub> --Compound I. A mixture of CH<sub>3</sub> OSi(CH<sub>3</sub>)<sub>2</sub> (CH<sub>2</sub>)<sub>3</sub> N(CH<sub>3</sub>)<sub>2</sub> (203 mg)

produced above and a suspension of  $\text{AlPcOH} \cdot x\text{H}_2\text{O}$  (56 mg) and 2-ethylpyridine (15 mL) that had been dried by distillation (3 mL of distillate) was refluxed for 45 minutes and filtered. The filtrate was evaporated to dryness with a rotary evaporator (about 40 degree C.) and the solid was dissolved in  $\text{CH}_2\text{Cl}_2$  (2 mL). Hexanes (3 mL) were added to the solution and the resulting suspension was filtered. The solid was washed (benzene and hexanes), vacuum dried (65 degree C.), and weighed (63 mg, 98% assuming  $\text{AlPcOH} \cdot 3\text{H}_2\text{O}$ ); NMR ( $\text{C}_5\text{D}_5\text{N}$ , 70 degree C.)  $\delta$  9.65 (m, 1,4-PcH), 8.28 (m, 2,3-PcH), 1.63 (s, NCH<sub>3</sub>), 0.99 (m,  $\gamma$ -CH<sub>2</sub>), -0.50 (m,  $\beta$ -CH<sub>2</sub>), -1.80 (m,  $\alpha$ -CH<sub>2</sub>), -2.33 (s, SiCH<sub>3</sub>).

The compound is blue and is soluble in  $\text{CH}_2\text{Cl}_2$  and toluene.

$\text{AlPcOSi(CH}_3)_2(\text{CH}_2)_3\text{N(CH}_3)_3 + \text{I}^-$  --Compound II. A mixture of  $\text{AlPcOSi(CH}_3)_2(\text{CH}_2)_3\text{N(CH}_3)_2$  (30 mg), benzene (10 mL), and  $\text{CH}_3\text{I}$  (15  $\mu\text{L}$ ) was refluxed for 1.5 hours, cooled, and filtered. The solid was vacuum dried (60 degree C.) and weighed (31 mg., 86%); NMR ( $\text{C}_5\text{D}_5\text{N}$ , 70 degree C.)  $\delta$  9.75 (m, 1,4-PcH), 8.34 (m, 2,3-PcH), 2.90 (s, NCH<sub>3</sub>), 2.02 (m,  $\gamma$ -CH<sub>2</sub>), -0.53 (m,  $\beta$ -CH<sub>2</sub>), -1.87 (m,  $\alpha$ -CH<sub>2</sub>), -2.40 (s, SiCH<sub>3</sub>).

The compound is a blue solid and is soluble in  $\text{CH}_2\text{Cl}_2$  and  $\text{CH}_3\text{OH}$  but is insoluble in toluene and  $\text{H}_2\text{O}$ .

$\text{CH}_3\text{SiPcOSi(CH}_3)_2(\text{CH}_2)_3\text{N(CH}_3)_2$  --Compound III. Procedures in this synthesis that were carried out under low light conditions (room lights off, shades drawn) are identified by the symbol 1. A mixture of  $\text{CH}_3\text{OSi(CH}_3)_2(\text{CH}_2)_3\text{N(CH}_3)_2$  (224 mg) and a suspension of  $\text{CH}_3\text{SiPcOH}$  (117 mg) and pyridine (25 mL) that had been dried by distillation (1) was slowly distilled (1) for 3 hours (10 mL of distillate) and then filtered (1, no solid). The filtrate was evaporated to dryness with a rotary evaporator (1, 75 degree C.), and the solid was dissolved in  $\text{CH}_2\text{Cl}_2$  (1, 2 mL). Hexanes (30 mL) were added to the solution (1) and the resulting suspension was filtered (1). The solid was washed (hexanes), vacuum dried (65 degree C.), and weighed (11 mg, 76%); mp >260 degree C.; NMR ( $\text{CDCl}_3$ )  $\delta$  9.63 (m, 1,4-PcH), 8.33 (m, 2,3-PcH), 1.74 (s, NCH<sub>3</sub>), 1.01 (m,  $\gamma$ -CH<sub>2</sub>), -1.18 (m,  $\beta$ -CH<sub>2</sub>), -2.25 (m,  $\alpha$ -CH<sub>2</sub>), -2.96 (s, Si(CH<sub>3</sub>)<sub>2</sub>), -6.35 (s, SiCH<sub>3</sub>).

The compound is dark green and is soluble in  $\text{CH}_2\text{Cl}_2$  and toluene. Solutions of it are rapidly photolyzed by white light.

$\text{HOSiPcOSi(CH}_3)_2(\text{CH}_2)_3\text{N(CH}_3)_2$  --Compound I. A mixture of  $\text{CH}_3\text{SiPcOSi(CH}_3)_2(\text{CH}_2)_3\text{N(CH}_3)_2$  (35 mg),  $\text{N(C}_2\text{H}_5)_3$  saturated with  $\text{H}_2\text{O}$  (0.2 mL), and toluene (70 mL) was irradiated with an incandescent light (300 W in 35 mm slide projector) for 15 minutes. The resulting suspension was concentrated with a rotary evaporator (about 45 degree C.) and the concentrate (about 5 mL) was diluted with hexanes (1 mL). The suspension formed was filtered and the solid was washed (hexanes), vacuum dried (65 degree C.), and weighed (33 mg, 96%); mp >260 degree C.; NMR (dimethylformamide-d<sub>7</sub>, 70 degree C.)  $\delta$  9.68 (m, 1,4-PcH), 8.47 (m, 2,3-PcH), 1.52 (s, NCH<sub>3</sub>), 0.74 (m,  $\gamma$ -CH<sub>2</sub>), -1.11 (m,  $\beta$ -CH<sub>2</sub>), -2.27 (m,  $\alpha$ -CH<sub>2</sub>), -2.89 (s, SiCH<sub>3</sub>). MS-HRFAB exact mass m/z calculated for  $\text{C}_{39}\text{H}_{35}\text{N}_9\text{O}_2\text{Si}_2\text{M}^+$  717.2452. Found 717.2422.

The compound is blue and is soluble in CH<sub>2</sub>Cl<sub>2</sub> and toluene.

HOSiPcOSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>N(CH<sub>3</sub>)<sub>3</sub><sup>+</sup>I<sup>-</sup> --  
Compound V. A mixture of HOSiPcOSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>N(CH<sub>3</sub>)<sub>3</sub><sup>+</sup>I<sup>-</sup> (24 mg), CH<sub>3</sub>I (25  $\mu$ L), and benzene (10 mL) was refluxed for 1.5 hours, cooled, and filtered. The solid was washed (benzene), vacuum dried (65  $^{\circ}$ C), and weighed (23 mg, 81%): NMR (dimethylformamide-d<sub>7</sub>, 70  $^{\circ}$ C)  $\delta$  9.66 (m, 1,4-PcH), 8.45 (m, 2,3-PcH), 2.87 (s, NCH<sub>3</sub>), 2.06 (m,  $\gamma$ -CH<sub>2</sub>), -0.97 (m,  $\beta$ -CH<sub>2</sub>), 2.25 (m,  $\alpha$ -CH<sub>2</sub>), -2.83 (s, SiCH<sub>3</sub>). MS-HRFAB exact mass m/z calculated for C<sub>40</sub>H<sub>38</sub>N<sub>9</sub>O<sub>2</sub>Si<sub>2</sub>(M-I)<sup>+</sup> 732.2687. Found 732.2668.

The compound is blue. It is soluble in CH<sub>2</sub>Cl<sub>2</sub> and CH<sub>3</sub>OH but is insoluble in toluene and H<sub>2</sub>O.

Sipc[OSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>N(CH<sub>3</sub>)<sub>2</sub>]<sub>2</sub>. A mixture of CH<sub>3</sub>OSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>N(CH<sub>3</sub>)<sub>2</sub> (239 mg) and a suspension of SiPc(OH)<sub>2</sub> (232 mg) and 2-ethylpyridine (30 mL) that had been dried by distillation ( $\approx$  2 mL of distillate) was slowly distilled for 2 hours ( $\approx$  5 mL of distillate). The resulting solution was filtered, the filtrate was evaporated to dryness with a rotary evaporator ( $\approx$  60  $^{\circ}$ C), and the solid was dissolved in CH<sub>2</sub>Cl<sub>2</sub> (3.5 mL). The CH<sub>2</sub>Cl<sub>2</sub> solution was diluted with hexanes ( $\approx$  40 mL), the suspension formed was filtered, and the solid was washed (hexanes), air dried, and weighed (263 mg, 76%); NMR (CDCl<sub>3</sub>)  $\delta$  9.63 (m, 1,4-PcH), 8.34 (m, 2,3-PcH), 1.65 (s, NCH<sub>3</sub>), 0.90 (m,  $\gamma$ -CH<sub>2</sub>), -1.10 (m,  $\beta$ -CH<sub>2</sub>), -2.26 (m,  $\alpha$ -CH<sub>2</sub>), -2.87 (s, SiCH<sub>3</sub>).

The compound is blue and is soluble in CH<sub>2</sub>Cl<sub>2</sub> and toluene.

SiPc[OSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>N(CH<sub>3</sub>)<sub>3</sub><sup>+</sup>I<sup>-</sup>]<sub>2</sub> --  
Compound VI. A mixture of SiPc[OSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>N(CH<sub>3</sub>)<sub>3</sub><sup>+</sup>I<sup>-</sup>]<sub>2</sub> produced above (30 mg), CH<sub>3</sub>I (36  $\mu$ L) and benzene (5 mL) was refluxed for 1.5 hours, cooled, and filtered. The solid was washed (benzene, hexanes), vacuum dried (60  $^{\circ}$ C), and weighed (32 mg, 79%): NMR (CD<sub>3</sub>OD)  $\delta$  9.63 (m, 1,4-PcH), 8.41 (m, 2,3-PcH), 1.65 (s, NCH<sub>3</sub>), 0.90 (m,  $\gamma$ -CH<sub>2</sub>), -1.10 (m,  $\beta$ -CH<sub>2</sub>), -2.21 (m,  $\alpha$ -CH<sub>2</sub>), -2.90 (m, SiCH<sub>3</sub>).

The compound is blue and is soluble in CH<sub>2</sub>Cl<sub>2</sub> and CH<sub>3</sub>OH but is insoluble in toluene. It disperses in H<sub>2</sub>O but does not dissolve in it.

#### Additional Phthalocyanine Compounds

SiPc[OSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>4</sub>NH<sub>2</sub>]<sub>2</sub> Compound VII

A mixture of CH<sub>3</sub>OSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>4</sub>NH<sub>2</sub> (100  $\mu$ L, 0.53 mmol), SiPc(OH)<sub>2</sub> (65 mg, 0.11 mmol) and pyridine (15 mL) was distilled for 30 minutes ( $\approx$  5 mL distillate) and filtered. The filtrate was evaporated to dryness with a rotary evaporator ( $\approx$  70  $^{\circ}$ C). The solid was dissolved in ethanol (4 mL), precipitated from the solution with water (3 mL), recovered by filtration, washed (ethanol-water solution, 2:1), vacuum dried ( $\approx$  60  $^{\circ}$ C) and weighed (81 mg, 0.097 mmol, 88%): UV-Vis (toluene)  $\lambda_{max}$  669 nm; NMR (CDCl<sub>3</sub>)  $\delta$  9.67 (m, 1,4-PcH), 8.36 (m, 2,3-PcH), 1.71 (t,  $\delta$ -CH<sub>2</sub>), -0.10 (m,

.gamma.-CH.sub.2), -1.33 (m, .beta.-CH.sub.2), -2.20 (m, .alpha.-CH.sub.2), -2.87 (s, SiCH.sub.3). MS-HRFAB exact mass, m/z: calculated for C.sub.44 H.sub.48 N.sub.10 O.sub.2 Si.sub.3 (M).sup.+, 832.3270; found, 832.3261, 832.3274. The compound is blue and is soluble in CH.sub.2 Cl.sub.2, dimethylformamide, pyridine and ethanol.

HOSiPcOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.2 CH.sub.3)(CH.sub.2).sub.2 N(CH.sub.3).sub.2 Compound X

To prepare CH.sub.3 OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.2 CH.sub.3)(CH.sub.2).sub.2 N(CH.sub.3).sub.2, a solution of CH.sub.3 OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 Cl (5.06 g, 30 mmol), CH.sub.3 CH.sub.2 NH(CH.sub.2).sub.2 N(CH.sub.3).sub.2 (5.0 mL, 61 mmol) and CH.sub.3 OH (5.0 ml) was refluxed for 6 hours and then distilled under gradually reduced pressure (20 torr final). The remainder was diluted with ether (20 ml) and filtered. The solid was washed (ether) and the washings and the filtrate were combined and concentrated with a rotary evaporator (.about.25.degree. C.). The concentrate was fractionally distilled under vacuum (7 mtorr) and a selected fraction (30.degree.-35.degree. C.) was retained (432 mg, 1.8 mmol, 6%): NMR (CDCl.sub.3) .delta.3.40 (s, CH.sub.3 O), 2.53 (m, NCH.sub.2 CH.sub.3 and CH.sub.2 CH.sub.2 NCH.sub.3), 2.37 (m, .gamma.-CH.sub.2 and CH.sub.2 CH.sub.2 NCH.sub.3), 2.21 (s, NCH.sub.3), 1.46 (m, .beta.-CH.sub.2), 0.97 (t, NCH.sub.2 CH.sub.3), 0.52 (m, .alpha.-CH.sub.2), 0.07 (s, SiCH.sub.3). The compound is a colorless oil.

All steps but the finally drying step of this procedure were carried out under low-intensity illumination. To prepare CH.sub.3 SiPcOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.2 CH.sub.3)(CH.sub.2).sub.2 N(CH.sub.3).sub.2, a mixture of the CH.sub.3 OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.2 CH.sub.3)(CH.sub.2).sub.2 N(CH.sub.3).sub.2 (432 mg, 1.8 mmol) and a suspension of CH.sub.3 SiPcOH (291 mg, 0.51 mmol) and pyridine (120 ml) that had been dried by distillation (.about.23 ml of distillate) was slowly distilled for 3 hours (.about.5 ml of distillate) and then filtered. The filtrate was evaporated to dryness with a rotary evaporator (.about.80.degree. C.). The solid was dissolved in CH.sub.2 Cl.sub.2 (1 ml), precipitated from the solution with hexanes (20 ml), recovered by filtration, washed (CH.sub.3 OH and hexanes), vacuum dried (.about.90.degree. C.) and weighed (306 mg, 0.39 mmol, 76%): NMR (CD.sub.2 Cl.sub.2) .delta.6.9.68 (m, 1,4-Pc H), 8.40 (m, 2,3-Pc H), 2.01 (s, NCH.sub.3), 1.85 (s, NCH.sub.2 CH.sub.2 N), 1.83 (q, NCH.sub.2 CH.sub.3), 0.98 (m, .gamma.-CH.sub.2), 0.61 (t, NCH.sub.2 CH.sub.3), -1.18 (m, .beta.-CH.sub.2), -2.39 (m, .alpha.-CH.sub.2), -2.94 (s, Si(CH.sub.3).sub.2), -6.33 (s, SiPcCH.sub.3). The compound is green and is soluble in CH.sub.2 Cl.sub.2 and toluene. Solutions of it are rapidly photolyzed by white light.

To prepare HOSiPcOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.2 CH.sub.3)(CH.sub.2).sub.2 N(CH.sub.3).sub.2, a mixture of the CH.sub.3 SiPcOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.2 CH.sub.3)(CH.sub.2).sub.2 N(CH.sub.3).sub.2 (300 mg, 0.38 mmol), toluene (600 ml) and (C.sub.2 H.sub.5).sub.3 N saturated with H.sub.2 O (2.2 ml) was irradiated with incandescent light (300 W projector lamp) for 40 minutes, and then concentrated with a rotary evaporator (.about.70.degree. C.). The concentrate (.about.5 ml) was diluted with hexanes (2.5 ml) and filtered. The solid was washed (toluene), dissolved in CH.sub.2 Cl.sub.2 (2 ml), precipitated from the solution with hexanes (20 ml), recovered by filtration, was washed (hexanes), vacuum dried (.about.90.degree. C.), and weighed (136 mg, 0.17 mmol, 45%): UV-vis (toluene) .lambda..sub.max 670 nm; NMR (CD.sub.2 Cl.sub.2, 7.6 mM) .delta. 9.28 (m, 1,4-Pc H), 8.30 (m, 2,3-Pc H), 1.93 (s, NCH.sub.3), 1.77 (s, NCH.sub.2 CH.sub.2 N), 1.71 (q,

NCH.sub.2 CH.sub.3), 0.85 (m, .gamma.-CH.sub.2), 0.49 (t, NCH.sub.2 CH.sub.3), -1.24 (m, .beta.-CH.sub.2), -2.43 (m, .alpha.-CH.sub.2), -3.02 (s, SiCH.sub.3). Anal. calculated for C.sub.43 H.sub.44 N.sub.10 O.sub.2 Si.sub.2 : C,65.45; H,5.62; N,17.75. Found: C,65.18; H,5.51; N,17.74. The compound is blue. It is soluble in toluene, CH.sub.2 Cl.sub.2, dimethylformamide and ethanol.

SiPc[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.3).sub.2 ].sub.2 Compound XII

A mixture of CH.sub.3 OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.3).sub.2 (201 mg, 1.1 mmol) and a suspension of SiPc(OH).sub.2 (232 mg, 0.40 mmol) and 2-ethylpyridine (30 ml) that had been dried by distillation (.about.1 ml of distillate) was slowly distilled for 1.5 hours (.about.11 ml of distillate). The resulting solution was filtered, and the filtrate was evaporated to dryness with a rotary evaporator (.about.40.degree. C.). The solid formed was extracted (CH.sub.2 Cl.sub.2 -hexanes solution, 1:4, 15 ml), recovered from the extract by rotary evaporation (.about.40.degree. C.), dissolved in CH.sub.2 Cl.sub.2 (1.5 ml), precipitated from the solution with hexanes (18 ml), recovered by filtration, washed (hexanes), vacuum dried (.about.70.degree. C.) and weighed (110 mg, 0.13 mmol, 33%): UV-vis (toluene) .lambda.sub.max 669 nm; NMR (CDCl.sub.3) .delta.9.61 (m, 1,4-Pc H), 8.31 (m, 2,3-Pc H), 1.55 (s, NCH.sub.3), 0.80 (m, .gamma.-CH.sub.2), -1.14 (m, .beta.-CH.sub.2), -2.29 (m, .alpha.-CH.sub.2), -2.89 (s, SiCH.sub.3). MS-HRFAB exact mass, m/z: calculated for C.sub.46 H.sub.53 N.sub.10 O.sub.2 Si.sub.3 (M+H).sup.+, 861.3661; found, 861.3627, 861.3638. The compound is blue and is soluble in CH.sub.2 Cl.sub.2, dimethylformamide and toluene.

SiPc[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.2 CH.sub.3)(CH.sub.2).sub.2 N(CH.sub.3).sub.2 ].sub.2 Compound XVIII

A mixture of CH.sub.3 OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.2 CH.sub.3)(CH.sub.2).sub.2 N(CH.sub.3).sub.2 (191 mg, 0.77 mmol) and a suspension of SiPc(OH).sub.2 (144 mg, 0.25 mmol) and pyridine (45 ml) that had been dried by distillation (.about.9 ml of distillate) was slowly distilled for 1 hours (.about.3 ml of distillate) and then filtered. The filtrate was evaporated to dryness with a rotary evaporator (.about.80.degree. C.), and the solid was extracted (CH.sub.2 Cl.sub.2, 10 ml), recovered from the extract by rotary evaporation (.about.40.degree. C.), washed twice (ethanol-water solution, 1:4), vacuum dried (.about.90.degree. C.) and weighed (123 mg, 0.12 mmol, 48%): UV-vis (toluene) .lambda.sub.max 668 nm; NMR (CDCl.sub.3) .delta.9.64 (m, 1,4-Pc H), 8.33 (m, 2,3-Pc H), 2.03 (s, NCH.sub.3), 1.91 (s, NCH.sub.2 CH.sub.2 N), 1.84 (q, NCH.sub.2 CH.sub.3), 1.04 (m, .gamma.-CH.sub.2), 0.64 (t, NCH.sub.2 CH.sub.3), -1.14 (m, .gamma.-CH.sub.2), -2.39 (m, .alpha.-CH.sub.2), -2.89 (s, SiCH.sub.3). MS-HRFAB exact mass, m/z: calculated for C.sub.54 H.sub.70 N.sub.12 O.sub.2 Si.sub.3 (M+H).sup.+, 1003.5131; found, 1003.5085, 1003.5100. The compound is blue and is soluble in CH.sub.2 Cl.sub.2, dimethylformamide and toluene.

HOSiPcOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N[(CH.sub.2).sub.3 N(CH.sub.3).sub.2 ].sub.2 Compound XXVIII

To prepare CH.sub.3 OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N[(CH.sub.2).sub.3 N(CH.sub.3).sub.2 ].sub.2, a mixture of CH.sub.3 OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 Cl (3.05 g, 18 mmol), NH[(CH.sub.2).sub.3 N(CH.sub.3).sub.2 ].sub.2 (8.0 mL, 36 mmol), K.sub.2 CO.sub.3 (0.488 g, 3.5 mmol) and CH.sub.3 OH (1.0 ml) was heated in oil bath (.about.110.degree. C.) for 48 hours and filtered. The filtrate was fractionally distilled under vacuum (5 mtorr) and a selected fraction (99.degree.-102.degree. C.), was retained (543 mg): NMR (CDCl.sub.3) .delta.3.40 (s, CH.sub.3 O), 2.33 (m, CH.sub.2

CH<sub>2</sub> CH<sub>2</sub> NCH<sub>3</sub>), 2.19 (s, NCH<sub>3</sub>), 1.61 (quintet, CH<sub>2</sub> CH<sub>2</sub> CH<sub>2</sub> NCH<sub>3</sub>), 1.43 (m, β-CH<sub>2</sub>), 0.55 (m, α-CH<sub>2</sub>), 0.07 (s, SiCH<sub>3</sub>). The product is a yellow oil.

All steps but the finally drying step of this procedure were carried out under low-intensity illumination. To prepare CH<sub>3</sub> SiPcOSi(CH<sub>3</sub>)<sub>2</sub> (CH<sub>2</sub>)<sub>3</sub> N[(CH<sub>2</sub>)<sub>3</sub> N(CH<sub>3</sub>)<sub>2</sub>]<sub>2</sub>, a mixture of the crude CH<sub>3</sub> OSi(CH<sub>3</sub>)<sub>2</sub> (CH<sub>2</sub>)<sub>3</sub> N[(CH<sub>2</sub>)<sub>3</sub> N(CH<sub>3</sub>)<sub>2</sub>]<sub>2</sub> (322 mg) and a suspension of CH<sub>3</sub> SiPcOH (302 mg, 0.53 mmol) and pyridine (170 ml) that had been dried by distillation (about 23 ml of distillate) was slowly distilled for 3 hours (about 20 ml of distillate) and then filtered. The filtrate was evaporated to dryness with a rotary evaporator (about 80 °C). The solid was washed (ethanol-water solution, 1:2) and chromatographed (Al<sub>2</sub>O<sub>3</sub> V, 3.5 × 15 cm, ethyl acetate-CH<sub>3</sub> OH solution, 9:1) and the resulting solid was vacuum dried (about 60 °C) and weighed (194 mg, 0.23 mmol, 43%): NMR (CDCl<sub>3</sub>) δ 9.60 (m, 1,4-Pc H), 8.29 (m, 2,3-Pc H), 2.08 (s, NCH<sub>3</sub>), 1.96 (t, CH<sub>2</sub> CH<sub>2</sub> CH<sub>2</sub> NCH<sub>3</sub>), 1.73 (t, CH<sub>2</sub> CH<sub>2</sub> CH<sub>2</sub> NCH<sub>3</sub>), 1.11 (quintet, CH<sub>2</sub> CH<sub>2</sub> CH<sub>2</sub> NCH<sub>3</sub>), 0.96 (m, γ-CH<sub>2</sub>), -1.18 (m, β-CH<sub>2</sub>), -2.46 (m, α-CH<sub>2</sub>), -2.98 (s, Si(CH<sub>3</sub>)<sub>2</sub>), -6.39 (s, SiPcCH<sub>3</sub>). The compound is green and is soluble in CH<sub>2</sub> Cl<sub>2</sub> and toluene. Solutions of it are rapidly photolyzed by white light.

(Pc 27). A mixture of CH<sub>3</sub> SiPcOSi(CH<sub>3</sub>)<sub>2</sub> (CH<sub>2</sub>)<sub>3</sub> N[(CH<sub>2</sub>)<sub>3</sub> N(CH<sub>3</sub>)<sub>2</sub>]<sub>2</sub> (180 mg, 0.21 mmol), toluene (360 ml), (C<sub>2</sub>H<sub>5</sub>)<sub>3</sub>N (18 ml) and H<sub>2</sub>O (1.5 ml) was irradiated with incandescent light (300 W projector lamp) for 25 minutes and then evaporated to dryness with a rotary evaporator (about 35 °C). The solid was chromatographed (Al<sub>2</sub>O<sub>3</sub> V, 3 × 14 cm, ethyl acetate-CH<sub>3</sub> OH solution, 9:1) and the resulting solid was dissolved in CH<sub>2</sub> Cl<sub>2</sub> (2 ml), precipitated from the solution with pentane (12 ml), recovered by filtration, washed (CH<sub>2</sub> Cl<sub>2</sub>-pentane solution, 1:6; pentane), vacuum dried (about 60 °C) and weighed (74.3 mg, 0.086 mmol, 41%): UV-vis (dimethylformamide) λ<sub>max</sub> 668 nm; NMR (CD<sub>2</sub>Cl<sub>2</sub>, 6.7 mM) δ 9.14 (m, 1,4-Pc H), 8.12 (m, 2,3-Pc H), 1.84 (s, NCH<sub>3</sub>), 1.71 (t, NCH<sub>2</sub> CH<sub>2</sub> CH<sub>2</sub> NCH<sub>3</sub>), 1.47 (t, CH<sub>2</sub> CH<sub>2</sub> CH<sub>2</sub> NCH<sub>3</sub>), 0.83 (quintet, CH<sub>2</sub> CH<sub>2</sub> CH<sub>2</sub> NCH<sub>3</sub>), 0.64 (m, γ-CH<sub>2</sub>), -1.41 (m, β-CH<sub>2</sub>), -2.61 (m, α-CH<sub>2</sub>), -3.17 (s, SiCH<sub>3</sub>). MS-HRFAB exact mass, m/z: calculated for C<sub>47</sub>H<sub>53</sub>N<sub>11</sub>O<sub>2</sub>Si<sub>2</sub> (M+H)<sup>+</sup>, 860.4001; found, 860.4020, 860.4011. The compound is blue and is soluble in CH<sub>2</sub> Cl<sub>2</sub>, dimethylformamide and toluene.

HOSiPcOSi(CH<sub>3</sub>)<sub>2</sub> (CH<sub>2</sub>)<sub>3</sub> NC<sub>4</sub>H<sub>8</sub>NCH<sub>3</sub> Compound XXVIII

To prepare CH<sub>3</sub> OSi(CH<sub>3</sub>)<sub>2</sub> (CH<sub>2</sub>)<sub>3</sub> NC<sub>4</sub>H<sub>8</sub>NCH<sub>3</sub>, a solution of CH<sub>3</sub> OSi(CH<sub>3</sub>)<sub>2</sub> (CH<sub>2</sub>)<sub>3</sub> Cl (3.09 g, 19 mmol), HNC<sub>4</sub>H<sub>8</sub>N(CH<sub>3</sub>) (4.0 mL, 36 mmol) and CH<sub>3</sub> OH (1.0 ml) was heated in an oil bath (about 110 °C) for 22 hours and allowed to stand for 8 h. The resultant was decanted and the upper layer was retained (2.40 g): NMR (CDCl<sub>3</sub>) δ 3.40 (s, CH<sub>3</sub> O), 2.45 (m, NCH<sub>2</sub> CH<sub>2</sub> N), 2.32 (m, γ-CH<sub>2</sub>), 2.26 (s, NCH<sub>3</sub>), 1.51 (m, β-CH<sub>2</sub>), 0.55 (m, α-CH<sub>2</sub>), 0.08 (s, SiCH<sub>3</sub>). The product is a yellow oil.

All steps but the finally drying step of this procedure were carried out under low-intensity

illumination. To prepare CH<sub>3</sub>SiPcOSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>NC<sub>4</sub>H<sub>8</sub>NCH<sub>3</sub> A mixture of the crude CH<sub>3</sub>OSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>NC<sub>4</sub>H<sub>8</sub>NCH<sub>3</sub> (162 mg) and a suspension of CH<sub>3</sub>SiPcOH (201 mg, 0.35 mmol) and pyridine (90 ml) that had been dried by distillation (about 9 ml of distillate) was slowly distilled for 3 hours (about 10 ml of distillate) and then filtered. The filtrate was evaporated to dryness with a rotary evaporator (about 80 degree C). The solid was washed (ethanol-water solution, 1:4), vacuum dried (about 60 degree C) and weighed (252 mg, 0.33 mmol, 94%): NMR (7.3 mM, CDCl<sub>3</sub>)  $\delta$  9.61 (m, 1,4-Pc H), 8.31 (m, 2,3-Pc H), 2.25 (s, NCH<sub>3</sub>), 1.65 (m, NCH<sub>2</sub>CH<sub>2</sub>N), 0.90 (m,  $\gamma$ -CH<sub>2</sub>), -1.25 (m,  $\beta$ -CH<sub>2</sub>), -2.38 (m,  $\alpha$ -CH<sub>2</sub>), -2.98 (s, Si(CH<sub>3</sub>)<sub>2</sub>), -6.38 (s, SiPcCH<sub>3</sub>). The compound is green and is soluble in CH<sub>2</sub>Cl<sub>2</sub> and toluene. Solutions of it are rapidly photolyzed by white light.

A mixture of the CH<sub>3</sub>SiPcOSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>NC<sub>4</sub>H<sub>8</sub>NCH<sub>3</sub> (200 mg, 0.26 mmol), toluene (400 ml), (C<sub>2</sub>H<sub>5</sub>)<sub>3</sub>N (4.0 ml) and H<sub>2</sub>O (1.0 ml) was irradiated with incandescent light (300 W projector lamp) for 20 minutes, and then concentrated with a rotary evaporator (about 70 degree C). The concentrate (about 5 ml) was diluted with hexanes (3.0 ml) and filtered. The solid was washed (toluene), dissolved in CH<sub>2</sub>Cl<sub>2</sub> (6 ml), precipitated from the solution with hexanes (12 ml), recovered by filtration, washed (hexanes), vacuum dried (about 60 degree C), and weighed (82.9 mg, 0.11 mmol, 42%): UV-vis (dimethylformamide)  $\lambda$ <sub>max</sub> 668 nm; NMR (CDCl<sub>3</sub>, 7.8 mM)  $\delta$  9.15 (m, 1,4-Pc H), 8.18 (m, 2,3-Pc H), 2.16 (s, NCH<sub>3</sub>), 1.61 (m, NCH<sub>2</sub>CH<sub>2</sub>N), 0.76 (m,  $\gamma$ -CH<sub>2</sub>), -1.37 (m,  $\beta$ -CH<sub>2</sub>), -2.49 (m,  $\alpha$ -CH<sub>2</sub>), -3.10 (s, SiCH<sub>3</sub>). MS-HRFAB exact mass, m/z: calculated for C<sub>42</sub>H<sub>40</sub>N<sub>10</sub>O<sub>2</sub>Si<sub>2</sub> (M+H)<sup>+</sup>, 773.2953; found, 773.2944, 773.2950. The compound is blue and is soluble in CH<sub>2</sub>Cl<sub>2</sub>, dimethylformamide and toluene.

The following compounds were made in a fashion similar to that used for the above compounds.

SiPc[OSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>4</sub>NHSO<sub>2</sub>CH<sub>3</sub>]<sub>2</sub> Compound VIII A solution of CH<sub>3</sub>SO<sub>2</sub>Cl, SiPc[OSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>4</sub>NH<sub>2</sub>]<sub>2</sub>, (C<sub>2</sub>H<sub>5</sub>)<sub>3</sub>N and CH<sub>2</sub>Cl<sub>2</sub> was stirred, and the product was isolated, chromatographed and recrystallized: MS-HRFAB exact mass, m/z: calculated for C<sub>46</sub>H<sub>52</sub>N<sub>10</sub>O<sub>6</sub>S<sub>2</sub>Si<sub>2</sub> (M)<sup>+</sup>, 988.2821; found, 988.2817, 988.2777.

HOSiPCOSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>4</sub>NHSO<sub>2</sub>CH<sub>3</sub> Compound IX A mixture of CH<sub>3</sub>OSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>4</sub>NH<sub>2</sub>, CH<sub>3</sub>SiPcOH and pyridine was partially distilled and the resulting CH<sub>3</sub>SiPcOSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>4</sub>NH<sub>2</sub> was isolated and recrystallized. A solution of this compound, CH<sub>3</sub>SO<sub>2</sub>Cl, (C<sub>2</sub>H<sub>5</sub>)<sub>3</sub>N and CH<sub>2</sub>Cl<sub>2</sub> was stirred and the CH<sub>3</sub>SiPcOSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>4</sub>NHSO<sub>2</sub>CH<sub>3</sub> formed was isolated and chromatographed. Finally, a mixture of this intermediate, CH<sub>2</sub>Cl<sub>2</sub>, H<sub>2</sub>O and (C<sub>2</sub>H<sub>5</sub>)<sub>3</sub>N was irradiated with light and the product was isolated, chromatographed and recrystallized: MS-HRFAB exact mass, m/z: calculated for C<sub>39</sub>H<sub>35</sub>N<sub>9</sub>O<sub>4</sub>SSi<sub>2</sub> (M)<sup>+</sup>, 781.2071; found, 781.2049, 781.2074.

SiPc[OSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>4</sub>NHCSNHC<sub>6</sub>H<sub>11</sub>O<sub>5</sub>]<sub>2</sub> Compound XI A mixture of 2,3,4,6-tetra-O-acetyl- $\beta$ -D-glucopyranosyl

isothiocyanate, SiPc[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.4 NH.sub.2 ].sub.2 and benzene was refluxed and the resulting SiPc[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.4 NHCSNHC.sub.14 H.sub.19 O.sub.9 ].sub.2 was isolated. A solution of this compound and CH.sub.3 OH was treated with NH.sub.3 gas and the product was isolated and recrystallized: MS-HRFAB exact mass, m/z: calculated for C.sub.58 H.sub.70 N.sub.12 O.sub.12 S.sub.2 Si.sub.3 (M).sup.+, 1274.3986; found, 1274.3988, 1274.4024.

HOSiPcOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 OCOCH.sub.3 Compound XIII A mixture of ClSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 OCOCH.sub.3, CH.sub.3 SiPcOH and pyridine was refluxed, and the resulting CH.sub.3 SiPcOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 OCOCH.sub.3 was isolated A solution of this compound and toluene was irradiated with light and the product was isolated and recrystallized: MS-HRFAB exact mass, m/z: calculated for C.sub.39 H.sub.32 N.sub.8 O.sub.4 Si.sub.2 (M).sup.+, 732.2085; found, 732.2100, 732.2084

SiPc[OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N.sup.+ (CH.sub.3).sub.2 (CH.sub.2).sub.11 CH.sub.3 ].sub.2 2I.sup.- Compound XIV A solution of CH.sub.3 (CH.sub.2).sub.11 I, SiPcOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 N(CH.sub.3).sub.2 and tetrahydrofuran was refluxed, and the product was isolated and recrystallized. Anal. calculated for C.sub.70 H.sub.102 I.sub.2 N.sub.10 O.sub.2 Si.sub.3 : C,57.84; H,7.07; I,17.46; N,9.64. Found: C,58.19; H,6.52; I,17.40; N,9.04, 9.63, 9.63.

(CH.sub.3).sub.3 C(CH.sub.3).sub.2 SiOSiPcOSi(CH.sub.3).sub.2 (CH.sub.2).sub.4 NCOC.sub.27 H.sub.30 N.sub.2 O Compound XV A solution of CH.sub.3 OSi(CH.sub.3).sub.2 (CH.sub.2).sub.4 NH.sub.2, (CH.sub.3).sub.3 C(CH.sub.3).sub.2 SiOSiPcOH and pyridine was partially distilled and the resulting (CH.sub.3).sub.3 C(CH.sub.3).sub.2 SiOSiPcOSi(CH.sub.3).sub.2 (CH.sub.2).sub.4 NH.sub.2 was isolated A solution of this compound and CH.sub.2 Cl.sub.2 was mixed with a mixture of rhodamine B base, (COCl).sub.2 and benzene which had been partially distilled, and the product was isolated and chromatographed: MS-HRFAB exact mass, m/z: calculated for C.sub.72 H.sub.75 N.sub.11 O.sub.4 Si.sub.3 (M).sup.+, 1241.5311; found 1241.5295, 1241.5265.

HOSiPCOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 OH Compound XVII A solution of CH.sub.3 SiPcOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 OCOCH.sub.3, CH.sub.3 OH, K.sub.2 CO.sub.3 and CH.sub.2 Cl.sub.2 was stirred, the reaction product was worked up, and the resulting CH.sub.3 SiPcOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 OH was isolated. A solution of this compound and toluene was irradiated with light and the product was isolated and chromatographed: MS-HRFAB exact mass, m/z: calculated for C.sub.37 H.sub.30 N.sub.8 O.sub.3 Si.sub.2 (M).sup.+, 690.1979; found, 690.1982, 690.1966.

HOSiPcOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 NC.sub.4 H.sub.8 O Compound XIX A solution of CH.sub.3 OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 Cl, morpholine and CH.sub.3 OH was refluxed and the resulting CH.sub.3 OSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 NC.sub.4 H.sub.8 O was isolated and distilled. A suspension of this compound, CH.sub.3 SiPcOH and pyridine was partially distilled, and the CH.sub.3 SiPcOSi(CH.sub.3).sub.2 (CH.sub.2).sub.3 NC.sub.4 H.sub.8 O was isolated and recrystallized. Finally, a mixture of this intermediate, toluene, (C.sub.2 H.sub.5).sub.3 N and H.sub.2 O was irradiated with light, and the product was isolated and recrystallized: MS-HRFAB exact mass, m/z: calculated for C.sub.41 H.sub.37 N.sub.9 O.sub.3 Si.sub.2 (M+H).sup.+, 760.2636; found, 760.2620, 760.2610.

AlPcOSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>N<sup>+</sup>(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>11</sub>I  
CH<sub>3</sub>I<sup>+</sup>- Compound XXI A mixture of CH<sub>3</sub>(CH<sub>2</sub>)<sub>11</sub>I and  
AlPcOSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>N(CH<sub>3</sub>)<sub>2</sub> was warmed, and the  
product was isolated and recrystallized: MS-HRFAB exact mass, m/z: calculated for  
C<sub>51</sub>H<sub>59</sub>AlIN<sub>9</sub>OSi(M)<sup>+</sup>, 995.3472; found, 995.3444, 995.3428

HOSiPcOSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>8</sub>N(CH<sub>3</sub>)<sub>2</sub> Compound XXII A  
solution of CH<sub>2</sub>·dbd.CH(CH<sub>2</sub>)<sub>6</sub>Br, (CH<sub>3</sub>)<sub>2</sub>NNH<sub>2</sub> and  
ether was stirred, the reaction mixture was worked up with HCl, NaNO<sub>3</sub> and NaOH,  
and the resulting CH<sub>2</sub>·dbd.CH(CH<sub>2</sub>)<sub>6</sub>N(CH<sub>3</sub>)<sub>2</sub> was isolated and  
distilled. A solution of this compound, (CH<sub>3</sub>)<sub>2</sub>SiHCl, CHCl<sub>3</sub>, H<sub>2</sub>  
PtCl<sub>6</sub>·xH<sub>2</sub>O and isopropanol was warmed and the CH<sub>3</sub>  
OSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>8</sub>N(CH<sub>3</sub>)<sub>2</sub>·HCl formed was isolated.  
Next, a suspension of this intermediate, CH<sub>3</sub>SiPcOH and pyridine was partially  
distilled, and the CH<sub>3</sub>SiPcOSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>8</sub>  
N(CH<sub>3</sub>)<sub>2</sub> obtained was isolated and recrystallized. Finally, a solution of this  
compound and CH<sub>2</sub>Cl<sub>2</sub> was irradiated with light and the product was isolated,  
chromatographed, and recrystallized: MS-HRFAB exact mass, m/z: calculated for  
C<sub>44</sub>H<sub>45</sub>N<sub>9</sub>O<sub>2</sub>Si<sub>2</sub>(M+H)<sup>+</sup>, 778.3313; found, 788.3300,  
788.3290.

SiPc[OSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>NC<sub>4</sub>H<sub>8</sub>O]<sub>2</sub> Compound  
XXIII A suspension of CH<sub>3</sub>OSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>NC<sub>4</sub>  
H<sub>8</sub>O, SiPc(OH)<sub>2</sub> and pyridine was partially distilled, and the product was  
isolated and recrystallized: MS-HRFAB exact mass, m/z: calculated for C<sub>50</sub>  
H<sub>56</sub>N<sub>10</sub>O<sub>4</sub>Si<sub>3</sub>(M)<sup>+</sup>, 944.3794; found, 944.3750, 944.3780.

HOSiPCOSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>NC<sub>4</sub>H<sub>8</sub>S Compound XXIV A  
solution of CH<sub>3</sub>OSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>Cl, thiomorpholine and  
CH<sub>3</sub>OH was refluxed and the resulting CH<sub>3</sub>OSi(CH<sub>3</sub>)<sub>2</sub>  
(CH<sub>2</sub>)<sub>3</sub>NC<sub>4</sub>H<sub>8</sub>S was isolated and distilled. A suspension of this  
compound, CH<sub>3</sub>SiPcOH and pyridine was partially distilled and the CH<sub>3</sub>  
SiPcOSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>NC<sub>4</sub>H<sub>8</sub>S formed was isolated and  
recrystallized. Finally, a mixture of this intermediate, toluene, (C<sub>2</sub>H<sub>5</sub>)<sub>3</sub>N  
and H<sub>2</sub>O was irradiated with light, and the product was isolated, chromatographed  
and recrystallized: MS-HRFAB exact mass, m/z: calculated for C<sub>41</sub>H<sub>37</sub>  
N<sub>9</sub>O<sub>2</sub>SSi<sub>2</sub>(M)<sup>+</sup>, 775.2330; found, 775.2308 775 2310.

HOSiPcOSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>N(CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>)<sub>2</sub>  
Compound XXV A solution of CH<sub>3</sub>OSi(CH<sub>3</sub>)<sub>2</sub>Cl, (CH<sub>3</sub>  
(CH<sub>2</sub>)<sub>3</sub>)<sub>2</sub>NH and CH<sub>3</sub>OH was refluxed and the resulting CH<sub>3</sub>  
OSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>N((CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>)<sub>2</sub> was isolated.  
A suspension of this compound, CH<sub>3</sub>SiPcOH and pyridine was partially distilled,  
and the product was isolated and chromatographed. Finally, a mixture of this  
intermediate, toluene, (C<sub>2</sub>H<sub>5</sub>)<sub>3</sub>N and H<sub>2</sub>O was irradiated with light,  
and the product was isolated and recrystallized: MS-HRFAB exact mass, m/z: calculated  
for C<sub>45</sub>H<sub>47</sub>N<sub>9</sub>O<sub>2</sub>Si<sub>2</sub>(M+H)<sup>+</sup>, 802.3470; found,  
802.3434, 802.3435

HOSiPcOSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>NCS Compound XXVI A mixture of  
CH<sub>3</sub>OSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>Cl, KNCS and dimethylformamide was  
warmed and the resulting CH<sub>3</sub>OSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>NCS was  
isolated A mixture of the compound, CH<sub>3</sub>SiPcOH and pyridine was partially

distilled and the CH<sub>3</sub>SiPcOSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>NCS formed was isolated, recrystallized and chromatographed. Finally, a solution of this intermediate and toluene was irradiated with light and the product was isolated and recrystallized: MS-HRFAB exact mass, m/z: calculated for C<sub>38</sub>H<sub>29</sub>N<sub>9</sub>O<sub>2</sub>SSi<sub>2</sub>(M)<sup>sup.+</sup>, 731.1704; found, 731.1696, 731.1669.

SiPc[OSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>NC<sub>4</sub>H<sub>8</sub>NCH<sub>3</sub>]<sub>2</sub> Compound XXX A suspension of CH<sub>3</sub>OSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>NC<sub>4</sub>H<sub>8</sub>NCH<sub>3</sub>, SiPc(OH)<sub>2</sub> and pyridine was partially distilled, and the product was isolated and recrystallized: MS-HRFAB exact mass, m/z: calculated for C<sub>52</sub>H<sub>62</sub>N<sub>12</sub>O<sub>2</sub>Si<sub>3</sub>(M+H)<sup>sup.+</sup>, 971.4505; found, 971.4460, 971.4489.

HOSiPCOSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>NC<sub>4</sub>H<sub>8</sub>N(CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub> Compound XXXI A suspension of piperazine, CH<sub>3</sub>(CH<sub>2</sub>)<sub>3</sub>Br, toluene and K<sub>2</sub>CO<sub>3</sub> was refluxed, and the resulting HNC<sub>4</sub>H<sub>8</sub>N(CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub> was isolated and distilled. A solution of this compound, CH<sub>3</sub>OSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>Cl and CH<sub>3</sub>OH was refluxed, and the CH<sub>3</sub>OSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>NC<sub>4</sub>H<sub>8</sub>N(CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub> formed was isolated. Next, a suspension of this intermediate, CH<sub>3</sub>SiPcOH and pyridine was partially distilled, and the CH<sub>3</sub>SiPcOSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>NC<sub>4</sub>H<sub>8</sub>N(CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub> obtained was isolated and chromatographed. Finally, a mixture of this compound, toluene (C<sub>2</sub>H<sub>5</sub>)<sub>3</sub>N and H<sub>2</sub>O was irradiated with light, and the product was isolated and recrystallized: MS-HRFAB exact mass, m/z: calculated for C<sub>45</sub>H<sub>46</sub>N<sub>10</sub>O<sub>2</sub>Si<sub>2</sub>(M+H)<sup>sup.+</sup>, 815.3422; found, 815.3424, 815.3423.

SiPc[OSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>NC<sub>4</sub>H<sub>8</sub>NH]<sub>2</sub> Compound XXXII A solution of CH<sub>3</sub>OSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>Cl, piperazine and CH<sub>3</sub>OH was refluxed, and the resulting CH<sub>3</sub>OSi(CH<sub>3</sub>)<sub>2</sub>(CH<sub>2</sub>)<sub>3</sub>NC<sub>4</sub>H<sub>8</sub>NH was distilled. A suspension of this compound, SiPc(OH)<sub>2</sub> and pyridine was partially distilled and the product was isolated and recrystallized. MS-HRFAB exact mass, m/z: calculated for C<sub>50</sub>H<sub>58</sub>N<sub>12</sub>O<sub>2</sub>Si<sub>3</sub>(M+H)<sup>sup.+</sup>, 943.4192; found, 943.4160, 943.4213.

## In Vitro Evaluation

### Culture of Chinese Hamster V79-379 cells

Chinese hamster V79-379 lung fibroblasts were grown in monolayer culture in McCoy's 5A medium (Gibco Laboratories, Grand Island, N.Y.) augmented with 10% calf serum and buffered with 20 mM HEPES (pH 7.4).

### Uptake of Phthalocyanines

Total uptake was determined by scraping the phthalocyanine-treated monolayer, collecting the cells on a glass-fiber filter, and extracting the phthalocyanine in ethanol, as previously described by Ramakrishnan, et al., 1989. (Ramakrishnan, N., M. E. Clay, M. F. Horng, A. R. Antunez, & H. H. Evans, "DNA Lesions and DNA Degradation in Mouse Lymphoma L5178Y Cells After Photodynamic Treatment Sensitized by Chloroaluminum Phthalocyanine", Photochem. Photobiol, in press, 1989). The amount of drug was determined by absorption at 674 nm and expressed relative to the number of



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## Results of Testing Compounds I-VI in V79-379 cell culture

All of the compounds have been examined for the extent of cellular uptake after exposure of V79 cells to 1  $\mu\text{M}$  or less in complete medium, and the data of Table 1 are presented relative to the uptake from 1  $\mu\text{M}$  AlPcCl, which was  $0.723 \pm 0.172$  nmole/ $10^7$  cells (mean  $\pm$  S. D., 25 determinations). Compounds I, II, and IV were taken up into the cells more efficiently than was AlPcCl under these conditions. In particular, when the concentration of Compound IV was 1  $\mu\text{M}$  in the medium, the uptake into the cells was sufficiently high that some of the uptake and phototoxicity studies were repeated at 0.5  $\mu\text{M}$ . Compounds III, V, and VI were less effectively incorporated into V79 cells.

Photodynamic action against V79 cells was assessed both by measurement of growth delay and by assay of the loss of clonogenicity. With both assays, none of the compounds showed any dark toxicity at concentrations of 1.0  $\mu\text{M}$  or less for up to 18 hours.

The inhibition of V79 culture growth was measured during a three day period following red light irradiation (12 kJ/m<sup>2</sup>) of phthalocyanine-pretreated cells. With each of the active compounds, as well as with AlPcCl, there was an initial decrease in cell density, as dead cells became detached from the monolayer. Thereafter, the cell number per flask increased, as living cells grew and divided. The time for the cell density to recover to the level at the time of light exposure was considered the growth delay. Cells treated with 1  $\mu\text{M}$  AlPcCl for 18 hours and 12 kJ/m<sup>2</sup> light were used for comparison purposes in each experiment and demonstrated a growth delay of approximately 24 hours. The ratio of the growth delay for the test photosensitizer and the growth delay for AlPcCl measured in the same experiment is recorded in Table 1. There was less inhibition of culture growth when cells were exposed to compounds III, V, or VI as expected from the poor cellular uptake of these drugs. In contrast, substantial inhibition was observed for compounds I, II, and IV. A value of  $>3$  for compound IV (Table 1) indicates that the cell density had not recovered to the initial level during the three day observation period.

Photocytotoxicity of the phthalocyanines compounds I to VI was also assessed by clonogenic assay (Table 1, FIG. 1). In all experiments, 1  $\mu\text{M}$  AlPcCl was included for comparison purposes. From the survival curves (FIG. 1), the fluence reducing the cell survival to 10% ( $F_{0.10}$ ) was obtained. The ratio of the  $F_{0.10}$  for AlPcCl and the  $F_{0.10}$  for the test compound is recorded in Table 1. Compounds I and II appear to be nearly as efficient photosensitizers as AlPcCl, while compound IV (assayed at half the concentration) was almost twice as efficient as the standard AlPcCl. Clonogenic assays were not conducted for compounds III and VI, since the data on uptake and growth delay suggested that these compounds would have poor activity. However, in spite of the low efficiency of compound V in inhibiting cell growth, survival measurements were made for this compound, because it was taken up into V79 cells somewhat more efficiently than compounds III and VI.

In order to take differences in cellular uptake into consideration in the assessment of the relative efficiency of these phthalocyanines as photosensitizers of V79 cells, the survival data were replotted against the product of intracellular phthalocyanine concentration and light fluence (FIG. 2). From these curves, the product of intracellular concentration and light fluence reducing survival to 10% ( $CF_{0.10}$ ) was obtained, and comparisons of the values for AlPcCl and the test compounds are recorded in Table 1. By this and the other

criteria, compound IV appears to be the most efficient photosensitizer. However, when consideration is given to the lesser cell uptake of compound V, it appears to be about as strong a photosensitizer as compound IV.

#### Discussion of Testing Compounds I-VI in V79 Cell Culture Photocytotoxicity

The low activity of compounds III and VI appears to be due to poor cell uptake. Both of these compounds have functional groups on both faces of the phthalocyanine ring, and it is possible that one face of the ring must be free for proper interaction with target biomolecules. Either Si phthalocyanine with no more than a hydroxyl group on one face (IV) or Al phthalocyanine with one face free of substituents (I and II) allows efficient cellular uptake as well as a high degree of cellular inactivation. Thus, both tertiary and quaternary amines appear to be efficacious structures. Compound V is an anomaly. Although it has features on either face of the phthalocyanine ring found on active molecules, the combination appears not to allow efficient cellular uptake. However, that which is incorporated into the cells has good photodynamic activity.

The results of the in vitro biological tests of the new phthalocyanines compounds I to VI are an important introduction to the design of a new class of photosensitizers. The results suggest that tertiary and quaternary amines may be an important class of structures to be explored. The axial ligands of the series of compounds listed in Table 1 are simpler than the corresponding ligand of the original diethylamine which served as a prototype. The simpler ligands appear to have the advantages of stability in solution, making them easier to study. The instability of the diethylamine precluded precise measurements of the concentration of the active species at the time of irradiation. Therefore, the true photosensitizing activity of the prototype compound may also be high.

#### Evaluation of Phthalocyanine Compounds VII-XV, XVII-XIX, XXI-XXVIII, and XXX-XXXII

##### Uptake of Phthalocyanine Compounds VII-XV, XVII-XIX, XXI-XXVIII, and XXX-XXXII into V79 Cells

In addition to the phthalocyanine compounds I to VI, several other new phthalocyanine compounds have proven to be effective in treating cancer. V79 cells Chinese hamster lung fibroblasts were cultured using the cell culture methods described above. The phthalocyanines listed in table 2 were added to the cultures typically at concentrations of 1  $\mu\text{M}$ , 2  $\mu\text{M}$ , and/or 4  $\mu\text{M}$  and incubated for 18 hours, after which aliquots of the cells were counted and other aliquots were collected on a glass fiber filter. When the filters were dry, the phthalocyanines were extracted into ethanol and the absorption determined at the peak wavelength, usually 668 nm. Values were converted to nmoles taken up by  $10^{10}$  cells, using an extinction coefficient of  $2.93 \times 10^5$ . The cellular uptake of the phthalocyanines are presented in Table 2.

| Compound | 1 $\mu\text{M}$ | 2 $\mu\text{M}$ | 4 $\mu\text{M}$ | n Moles/<br>10 <sup>6</sup> cells/<br>$\mu\text{M}$ | n Moles/<br>10 <sup>6</sup> cells |
|----------|-----------------|-----------------|-----------------|---|-----------------------------------|
| IV       | 0.7             | 0.2             | 3.1             | 0.7   | 2.9                               |
| VII      | 0.2             | 0.03            | 1.1             | 0.2   | 1.1                               |
| VIII     | 0.1             | 0.1             | 0.1             | 0.1   | 0.1                               |
| IX       | 0.1             | 0.1             | 0.1             | 0.1   | 0.1                               |
| X        | 0.6             | 0.2             | 3.3             | 0.6   | 2.9                               |
| XI       | 0.1             | 0.1             | 0.3             | 0.1   | 0.3                               |
| XII      | 2.1             | 1.2             | 4.6             | 2.1   | 8.9                               |
| XIII     | 1.7             | 0.3             | 0.4             | 1.7   | 7.0                               |
| XIV      | 0.03            | 0.01            | 0.05            | 0.03  | 1.2                               |
| XV       | 0.01            | <0.05           | 0.01            | 0.01  | 0.04                              |
| XVI      | 0.2             | 0.2             | 0.7             | 0.2   | 0.8                               |
| XVII     | 1.7             | 0.2             | 0.4             | 1.7   | 7.0                               |
| XVIII    | 0.3             | 0.1             | 3.6             | 0.3   | 1.2                               |
| XIX      | 0.3             | 0.1             | 2.4             | 0.3   | 1.2                               |
| XXI      | 1.2             | 0.2             | 5.8             | 1.2   | 4.9                               |
| XXII     | ND              | ND              | ND              | ND  | ND                                |
| XXIII    | ND              | ND              | ND              | ND  | ND                                |
| XXIV     | 0.003           | 0.001           | 1.3             | 0.003   | 0.012                             |

<0.05\* XXV 0.02 .+-. 0.02 1.5 .+-. 0.3 <0.05\* XXVI ND XXVII 1.8 5.0 .+-. 0.01 1.5  
 XXVIII 1.2 .+-. 0.2 3.6 .+-. 1.0 11.4 .+-. 0.05 1.2\* XXX ND XXXI 0.61 .+-. 0.1 0.3

In the last column, wherever possible, a composite value was calculated, in order to have a single number for the purposes of ranking the uptake efficiency of the compounds. For most compounds, the average of all the data has been calculated and rounded to the first decimal. Where all values are <0.05, the data are presented as <0.05. An asterisk (\*) indicates that an average uptake value, which is the average of the phthalocyanine doses would be higher than the listed value which is for 1 .mu.M.

It appears from Table 2 that the uptake of PcXVIII, PcXIX, PcXXIV, PCXXV, and PcXXVIII are not linearly dependent upon the phthalocyanine concentration in the medium. PcIV, PcXII, PcXXI, PcXXVII and PcXXVIII are taken up particularly well by the V79 cells.

### Clonogenicity studies using Phthalocyanine Compounds VII-XV, XVII-XIX, XXI-XXVIII, and XXX-XXXII into V79 Cells

Using the cell culture methods described above, V79 cells Chinese hamster lung fibroblasts were treated with either 0.5 or 1.0 .mu.M of the phthalocyanines listed in Table 3. About 18 hours thereafter, the cells were irradiated with increasing doses of 675 nm broad band red light from a 500 W tungsten-halogen lamp fitted with a 600 nm high pass filter, to determine the light dosage that would kill 90% of the phthalocyanine treated cells. Where 90% of the cells were not killed, the maximum percent of cells killed were determined, (expressed as % survival) and the related light dosage recorded. The results are presented in Table 3.

TABLE 3 EVALUATION OF PHTHALOCYANINE COMPOUNDS IN KILLING V79 CELLS USING PHOTODYNAMIC THERAPY n Maximum Moles/10.sup.6 Effect cells/.mu.M Concn. LD 90 (% survival (from Pc (.mu.M) (kJ/m.sup.2) at kJ/m.sup.2) Table 2)

|      |     |      |       |       |     |      |      |        |       |      |      |
|------|-----|------|-------|-------|-----|------|------|--------|-------|------|------|
| IV   | 0.5 | 4    | 1.1   | VII#  | 0.5 | 4    | 0.2  | VIII   | 1     | 94%  | at   |
| 30   | 0.2 | IX   | 0.5   | 44%   | at  | 9    | 0.3  | X      | 0.5   | 7    | 0.7  |
| XI   | 1   | 100% | at    | 20    | 0.1 | XII  | 0.5  | 3.3    | 1.6   | XIII | 1    |
| 88%  | at  | 15   | 0.4   | XIV   | 1   | 93%  | at   | 10     | <0.05 | XV   | 4    |
| 81%  | at  | 20   | 0.05  | XVI   | 4   | 100% | at   | 10     | 0.2   | XVII | 1    |
| 19%  | at  | 10   | 0.4   | XVIII | 1   | 7    | 0.3* | XIX    | 1     | 81%  | at   |
| 10   | 1.3 | XXI  | 0.5   | 15*   | ND  | XXII | 0.5  | 10     | ND    | XXIV | 0.5  |
| 100% | at  | 10   | <0.05 | XXV   | 0.5 | 87%  | at   | 8      | <0.05 | XXVI | 1    |
| 100% | at  | 30   | ND    | XXVII | 0.5 | 6.8  | 1.5  | XXVIII | 0.5   | 1.8  | 1.2* |
| 30%  | at  | 10   | ND    | XXXI  | 0.5 | 30%  | at   | 10     | 0.3   |      |      |

\*not totally soluble at 0.5 mM #Preplated data only

As shown in Table 3, PcIV, PcVII, PcXII, and PcXXVIII achieved the LD 90 at the lowest light dosage, and thus are the most active photosensitizers, that is they are the most active at killing V79 cells.

For comparison, the phthalocyanine uptake values presented in Table 2 were also presented in the last column of Table 3. As shown in Table 3, some, but not all, of the differences in photosensitizing activity among phthalocyanines can be explained by differences in uptake. For example, PcXXVIII which has the highest activity in killing V79 cells of all of the phthalocyanines also has a high uptake. The uptake of Pc XXVIII at 1 .mu.M is less than that for PcXII, whereas its photodynamic killing efficiency is superior to PcXII when analyzed at 0.5 .mu.M.

It is not surprising that often phthalocyanines with poor uptake are relatively less active



In Table 4 each phthalocyanine was present at 0.5  $\mu\text{M}$ , and the normalized plating efficiencies are presented as mean and standard deviation at each fluence tested. The results show that all four phthalocyanines are active photosensitizers for photodynamic therapy. Based on their relative ability upon irradiation with various fluences of red light to reduce tumor cell survival, these phthalocyanines are ranked from the most active photosensitizers to the least active: PcIV, PcXII, PcX, PcXVIII. This relative activity of these four phthalocyanines is the same as obtained from screening in V79 cells.

FIG. 3 shows the average plating efficiencies from Table 4 plotted against the fluence for each Pc.

TABLE 5 Clonogenic Assay of Phthalocyanines

| Concentration Pc ( $\mu\text{M}$ ) | LD.sub.50 (kJ/m.sup.2) | LD(.sub.90 (kJ/m.sup.2) |
|------------------------------------|------------------------|-------------------------|
| Pc IV 0.5 $\mu\text{M}$            | 1.38                   | 2.15                    |
| Pc X 0.5 $\mu\text{M}$             | 2.38                   | 4.19                    |
| Pc XII 0.5 $\mu\text{M}$           | 1.11                   | 1.70                    |
| Pc XVIII 0.5 $\mu\text{M}$         | 5.00                   | 7.81                    |

Table 5 shows the fluence that reduces the cell survival to 50% and to 10% and which are given as LD.sub.50 and LD.sub.90, respectively. The most active compound of the phthalocyanines shown in Table 5 is PcXII. PcXII when present in the culture medium at 0.5  $\mu\text{M}$  requires less light, that is the lowest fluence, to kill either 50% or of the cells. PcIV is about 80% as active as PcXII, PcX is 44% as active as PcXII and PcXVIII is 22% as active as PcXII.

TABLE 6 Relative Capacity of Phthalocyanines to Induce Apoptosis

| Minimum Demonstrated Condition | Concentration | Fluence C .times. F Pc ( $\mu\text{M}$ ) (kJ/m.sup.2) | ( $\mu\text{m} \cdot \text{kJ/m.sup.2}$ ) |
|--------------------------------|---------------|---|---|
| Pc IV                          | 0.4           | 3.0   | 1.2                                       |
| Pc VII                         | 0.5           | 3.0   | 1.5                                       |
| Pc IX                          | 0.3           | 12.0  | 3.6                                       |
| Pc X                           | 0.5           | 8.0   | 4.0                                       |
| Pc XII                         | 0.4           | 3.0   | 1.2                                       |
| Pc XVIII                       | 0.5           | 10.0  | 5.0                                       |
| Pc XXI                         | 0.5           | 15.0  | 7.5                                       |
| Pc XXII                        | 0.5           | 10.0  | 5.0                                       |
| Pc XXVIII                      | 0.3           | 3.0   | 0.9                                       |
| Pc XXX                         | 0.5           | 15.0  | 7.5                                       |
| Pc XXXII                       | 0.5           | 5   | 2.5                                       |

(DMF-Tween 80)

Table 6 shows that photodynamic therapy with the phthalocyanine compounds listed causes L5178Y cells to undergo apoptosis as the mode of cell death. Cells were treated with various concentrations of the compounds listed in the table and various light fluences. DNA gels were prepared and examined for the characteristic "ladder" pattern of DNA fragments. For each Pc, the minimum total PDT dose tested (calculated as the product of the minimum phthalocyanine concentration and the minimum fluence) which produced visible DNA fragments is recorded. PcXXX and PcXXXII were not soluble in DMF and were suspended and partially solubilized in DMF/Tween 80 for this assay. PcIX is unusual in that its activity increases and then decreases as the concentration is raised. PcX was added at concentrations of 0.5 and 1.0  $\mu\text{M}$ ; the same minimum value for the C.times.F product was obtained in both cases. PcXVIII was also added at 0.5 and 1.0  $\mu\text{M}$ . The minimum value of C.times.F differed only slightly for the two conditions. PcV, PcVI, PcVIII, PcXI, PcXIV and PcXV, when evaluated at a concentration of 1  $\mu\text{M}$  at a fluence of 30 kJ/m.sup.2 did not induce apoptosis. Compound PcXVI at a concentration of 4  $\mu\text{M}$  and a fluence of 20kJ/m.sup.2 for 2 hours did not induce apoptosis.

## In vivo Evaluation of Phthalocyanine Compounds VII-XV, XVII-XIX, XXI-XXVII, and XXX-XXXII

The relative effectiveness at reducing tumor volume of selected phthalocyanine compounds at a given dosage was compared in vivo. RIF-1, i.e., radiation-induced fibrosarcoma, tumors were implanted into the backs of C3H/HeN mice. One tumor was implanted per mouse. Each of the phthalocyanine compounds listed in Table 7 was sonicated and vortexed in corn oil to produce a suspension. When the tumors reached 5-7 cm in diameter and 2-3 mm in thickness, each mouse received 1 mg/kg in 0.1 ml of the corn oil, of the phthalocyanine suspension. For comparison, select mice received a conventional photosensitizer; either 5 mg/kg of chloroaluminum phthalocyanine tetrasulfonate, herein also referred to as "AlPcTS" in phosphate buffered saline or 5 mg/kg of Photofrin.RTM.-II in 5% dextrose. Twenty-four hours after the photosensitizers were administered, the tumors were irradiated with visible radiation delivered by an argon-pumped dye laser. The mice that received a phthalocyanine photosensitizer received light having a wavelength of 675 nm and the mice that received the Photofrin.RTM. II photosensitizer received light having a wavelength of 630 nm. Each tumor received 135 J/cm<sup>2</sup> of radiation. Tumor size was measured every day using calipers. The initial tumor volume was 50. $\pm$ 10 mm<sup>3</sup>. Tumor volume was calculated according to the hemieipsoid model by the formula:  $V = \frac{1}{2} \pi l w^2$  Where l is length Where W is width

Where H is height

The tumor response is shown in Table 7.

TABLE 7 Comparative Responses of RIF-1 Implanted Tumors to PDT With Select Phthalocyanine Compounds  
Tumor Doubling Time of Initial Responses Tumor Volume after PDT Photosensitizer at 24 hours in days  
Pc XXVIII complete 24 Pc XII complete 20 Pc IV near complete 16 Pc XVIII near complete 12 Pc IX near complete 11 Pc V moderate 6 Pc VIII slight 4 AlPcTS\* substantial 7 II\*tofrin .TM. near complete 12 controls 4 complete- no evidence of any tumor mass in any animal; only the scar from the photodynamic therapy was evident.  
near completeno evidence of any tumor mass in four or five animals; only some tumor mass in one or two animals. substantial a significant tumor shrinkage occurred in all animals. In som animals the tumor response was complete, yet in others the response was not complete. moderate some tumor shrinkage was evident in some animals. In animals wit some tumor shrinkage, scar formation was evident. slightsome tumor decrease occurred in one or two mice.

While the tumor volume in the control mice doubled in four days, the doubling of tumor volume was delayed in the animals treated with each of the compounds except PcVIII. PcXXVIII, PcXII, PcIV, PcXVIII, PcIX were particularly effective in reducing tumor volume.

Histological examination of tumors treated with PcIV revealed the presence of apoptotic bodies in the tumor. Analysis of tumors treated with Pc IV showed DNA fragments whose sizes were multiples of 180-200 base pairs.

As can be seen from Table 7, Pc XXVIII, Pc XII and Pc IV significantly impair the growth of the tumors and are the most preferred photosensitizers for the treatment of cancer, because of effectiveness at set dosage of phthalocyanine.

Not only do the phthalocyanine compounds of the present invention reduce tumor volume, they are capable of eliminating tumors completely particularly upon multiple exposures to radiation.

#### Complete inhibition of tumors by PDT with PcIV

As occurs with PF-II-PDT, regrowth of tumors from the tumor margins occurred in the animals treated Pc IV, followed by the exposure to light. This regrowth possibly originates from the cells which somehow escape irradiation.

To overcome the regrowth, RIF-1 tumors were implanted in C3H/HeN mice, and the mice were treated with PcIV followed by multiple exposures to light. For multiple exposures to light to be successful, the tumor tissue must retain sufficient levels of the photosensitizer over the exposure period.

Since pharmacokinetic data indicated that Pc IV is retained in tumor tissue even after 7 days of its administration, Pc IV was administered once at the dose of 1 mg/kg body weight in corn oil or entrapped in DPPC liposomes. Thereafter, the tumors were irradiated with an argon ion pumped dye laser tuned at 675 nm for the total light dose of 135 J/cm<sup>2</sup> (75 mW/cm<sup>2</sup>). The tumors were irradiated with multiple exposures of 675 nm laser light, at varying times, as shown in Table 8.

TABLE 8 \_\_\_\_\_ Responses of RIF-1 implanted tumors to PcIV followed by multiple exposures to light % of Mice Surviving day of corn oil liposomes liposomes exposure 15 days 30 days 120 days

|       |     |     |     |                |         |     |     |     |       |   |   |         |     |     |     |
|-------|-----|-----|-----|----------------|---------|-----|-----|-----|-------|---|---|---------|-----|-----|-----|
|       | 2   | 100 | 100 | N/A            | 2 and 3 | 100 | 100 | N/A | 2, 3, |   |   |         |     |     |     |
| and 4 | 100 | 0   | 0   | 2, 3, 4, 5 and | 100     | 0   | 0   | 2-6 | 100   | 0 | 0 | 2 and 7 | 100 | 100 | N/A |

Where Pc IV was given in corn oil, regrowth of tumors was evident 15 days after photodynamic therapy in all the multiple exposure protocols. However, when the PcIV was administered entrapped in DPPC liposomes, complete tumor cure was evident in those mice which were irradiated three, four or five times at an interval of 24 hours. No tumor regrowth occurred even at 120 days after the photodynamic therapy. Indeed, at the time the mice were sacrificed 300 days after the light treatment, there was no evidence of tumor regrowth. Tumor regrowth occurred 30 days after photodynamic therapy only in those animals which were irradiated only one or two times either at 24 or 120 hour intervals. One reason for this differential effect may be related to the pharmacokinetics of the dye, that is the dye may have been retained in the tissue for a long period which permitted multiple exposures to be effective. Alternatively, the administration of Pc IV, via DPPC liposomes may enhance uptake and retention of PcIV by the tumor cells.

#### Squamous Cell Carcinoma

A single cell suspension of human squamous cell carcinoma was injected subcutaneously into the back of Harlan-Sprague Dawley athymic nude mice. Thereafter on day 15 the mice were injected with 5 mg/kg of Pc IV suspended in 0.1 ml corn oil For comparison 5 mg/kg body weight of Photofrin.RTM. was administered. The results are shown below in Table 9.

TABLE 9

\_\_\_ Tumor Response and Cure following Photodynamic Therapy 675 nm Pc IV Light 675 nm Illumination - No of Concentration Test Density Density Time % Tumor % Tumor Animals (mg/kg) (J/cm<sup>2</sup>) (mW/cm<sup>2</sup>) (min) Response<sup>a</sup> Cure<sup>b</sup>

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|    |     |     |     |    |   |   |   |     |   |   |   |   |   |     |    |    |    |    |   |   |     |    |    |    |    |    |   |     |     |
|----|-----|-----|-----|----|---|---|---|-----|---|---|---|---|---|-----|----|----|----|----|---|---|-----|----|----|----|----|----|---|-----|-----|
| 5  | 0.0 | 75  | 75  | 15 | 0 | 0 | 5 | 1.0 | 0 | 0 | 0 | 0 | 5 | 1.0 | 35 | 75 | 15 | 40 | 0 | 5 | 1.0 | 75 | 75 | 15 | 80 | 60 | 5 | 1.0 | 135 |
| 75 | 15  | 100 | 100 |    |   |   |   |     |   |   |   |   |   |     |    |    |    |    |   |   |     |    |    |    |    |    |   |     |     |

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\_\_\_ <sup>a</sup> Tumor flat, necrotic, measured 24 hours post illumination. <sup>b</sup> No tumor at 7 days post treatment.

As can be seen from Table 9, 1 mg/Kg Pc IV followed by 135 J/cm<sup>2</sup> of 675 nm light at a power Density of 75 mW/cm<sup>2</sup> for 15 minutes eliminated the tumors in 100% of the mice.

Treatment of chemically induced skin tumors.

6-week-old female SENCAR mice received a single topical application of 5 .mu.g DMBA in 0.2 ml acetone on the dorsal skin as tumor initiator. One week later, the animals were started on twice-weekly topical applications of 1 .mu.g TPA in 0.2 ml acetone as tumor promoter. All of the animals developed tumors at 12 weeks. Mice that developed 4-5 tumors per animal averaging 5-8 mm in diameter and 2-5 mm in thickness were used. Pc IV, entrapped in DPPC liposomes was administered intraperitoneally at doses of either 0.5 or 1.0 mg/kg and 24 hrs later the tumor area was illuminated with light from an argon pumped dye laser tuned at 675 nm for a total light dose of 135 J/cm<sup>2</sup> (75 mW/cm<sup>2</sup>). All possible controls were included; either the animals were untreated, treated only with laser light or treated only with Pc IV alone.

Curves for animals after PDT with Pc IV at the doses of 0.5 and 1.0 mg/kg are shown by d and e in Figure 4. As shown in FIG. 4 the mice treated with PcIV and light showed a decrease in tumor volume which eventually decreased to 0 volume, that is, no tumor was measurable. The tumor did not return for the length of the study, 34 days. In contrast, the control tumor volume consistently increased over time.

The invention has been described with reference to the preferred embodiment. Obviously, modifications and alterations will occur to others upon reading and understanding the preceding detailed description. It is intended that the invention be construed as including all such modifications and alterations insofar as they come within the scope of the appended claims or the equivalents thereof.

In addition, although the present invention has been described with reference to the effectiveness of the phthalocyanine compositions in photodynamic therapy for the destruction of cancer tissue, it is well understood by those skilled in the art that the compositions of the invention may be well suited for other therapeutic purposes. Along this line, it is contemplated that other possible uses of the composition of the present invention include:

- (1) the purging of bone marrow for autologous bone marrow transplantation;
- (2) the purging of viruses from whole blood or blood components;
- (3) the treatment of psoriasis;

(4) the treatment of warts;

(5) the treatment of macular degeneration; and

(6) the treatment of intra-arterial plaques.

Thus, the new phthalocyanine compositions of the present invention may be effective for a wide variety of therapeutic uses.

Dr. E. Ben-Hur and his associates at the New York blood Center, New York N.Y., have demonstrated 11 that compounds V and VI, XIV, and XXI are effective at purging viruses from blood and/or blood components. In addition, the phthalocyanines are useful for study and research of photodynamic therapy particularly photodynamic therapy for cancer.

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